

UCONN  
2000

38

REPORT



**UCONN**  
UNIVERSITY OF CONNECTICUT



Thanks in good measure to UCONN 2000, the University continues to attract scores of the state and nation's best and brightest students to Connecticut, most of whom live and work in the Nutmeg State after commencement.

# **UCONN 2000**

## **REBUILDING, RENEWING AND ENHANCING THE UNIVERSITY OF CONNECTICUT**

**April 2014**

**The Thirty Eighth**  
in a series of reports to

Governor Dannel P. Malloy  
and the  
Connecticut General Assembly

UNIVERSITY OF CONNECTICUT



## **UCONN 2000: THE UPDATE**

This is the thirty eighth in a series of semi-annual reports to the Governor and the General Assembly pursuant to the provisions of Sections 10a-109 through 10a-109y of the Connecticut General Statutes, originally An Act to Enhance the Infrastructure of the University of Connecticut, now known as UCONN 2000. These reports have been issued each October and April since passage of UCONN 2000 on June 7, 1995. The law also requires a four-year progress report, which was filed on January 15, 1999, as well as two five-year performance reviews which were submitted January 15, 2006 and January 15, 2011.

## **UCONN 2000: PROGRAM IMPROVEMENT**

During this reporting period the requirements concerning UCONN 2000 contained in Public Act 06-134 continue to be implemented. The Construction Management Oversight Committee (CMOC) meets on a regular basis to review reports from the Office of Construction Assurance, who monitors the project management, procurement policies and procedures and is headed by a full-time Construction Assurance Officer, who reports directly to the CMOC. CMOC also reviews the status of major projects and remaining code correction programs.

Pursuant to Chapter 185b, Section 10a-109z of the Connecticut General Statutes (the Statute), the Board of Trustees for the University of Connecticut appointed the independent accounting firm of Marcum LLP, formerly UHY, to perform audits of the UCONN 2000 expenditures for the 2005 through 2010 fiscal years. Marcum issued a clean audit opinion for each year of the engagement and in connection with the audits of UCONN 2000 expenditures, no material weaknesses or significant deficiencies in internal controls were reported to management. Pursuant to the Statute, the Board of Trustees appointed the independent accounting firm of McGladrey LLP to perform audits of UCONN 2000 projects for the 2011 and 2012 fiscal years. McGladrey issued clean audit opinions for the 2011 and 2012 fiscal years and in connection with the audits of UCONN 2000 expenditures, no material weaknesses or significant deficiencies in internal controls were reported to management.

The University employs building and life safety inspectors who review and sign off on all University construction plans and conduct field inspections to ensure that construction work meets all state building and life safety codes. The University inspectors work closely and effectively with the State Building Inspectors to ensure projects are code compliant.

## **UCONN 2000: STATUS REPORT**

### **MASTER PLANNING, DESIGN AND MANAGEMENT**

The University's Master Plan provides a template for the development of the University's physical plant and infrastructure. The 1998 Storrs Campus Master Plan was updated in 2006 to reflect the extensive physical changes on all the campuses resulting from the UCONN 2000 and 21<sup>st</sup> Century UConn initiatives. The Master Plan update in 2006 was necessary to assist the University in prioritizing and managing its capital resources.

The Master Plan is currently undergoing a comprehensive review and update that will reflect the impacts of the projects included in the Next Generation Connecticut program and account for the development of the Storrs campus for the twenty (20) year period between 2015 and 2035. The scope of the current Master Plan update will document the state of existing conditions on campus, and identify issues related to land use; space needs for academic, research, student life and administrative uses; recommended deferred maintenance and capital investments in the physical plant; identify environmental issues and incorporate sustainable design principles for future development; evaluate overall parking, circulation and transportation issues; and generate specific strategies to improve landscape quality and open spaces.. The planning effort will reference the programmatic ties to the Regional campuses, but will not prepare separate master plans for those locations. An Environmental Impact Evaluation (EIE) is being conducted simultaneously with the Master Plan to provide further input and complete the public engagement process for the Storrs campus.

It should be noted that the Master Plan was modified throughout the project planning, design and construction process of UCONN 2000. As part of the Technology Park initiative, an update to the North Campus Master Plan was prepared to delineate various site, vehicular and pedestrian pathways, utility corridors, and landscape improvements to facilitate the future development of that part of campus. This work will be incorporated into the updated comprehensive Master Plan for the campus.

The Board of Trustees, at its June 26, 2013 meeting, approved the FY14 Capital Budget totaling \$204,400,000 as shown in Attachment A. This amount reflects \$53,400,000 for Storrs and the Regional Campuses and \$151,000,000 for the University of Connecticut Health Center.

In 2011, the State of Connecticut made strategic investments in the University of Connecticut and the University of Connecticut Health Center firmly planting the seeds for Connecticut to be at the forefront of the growing bioscience industry. The investments were made with the promise that they would leverage other bioscience initiatives.

In May of that year, Governor Dannel P. Malloy introduced and less than one month later, the General Assembly approved the Bioscience Connecticut Initiative (Public Act 11-75), a bold \$864 million plan to reinvigorate all three areas of the Health Center's mission – research, education and clinical care. These investments would use the Health Center as a cornerstone for reinventing Connecticut's economy by generating long term sustainable economic growth based on bioscience research, innovation, entrepreneurship and commercialization. Of note, the project also calls for short-term economic activity through a surge of construction-related jobs attributable to the expansion and renovation of the Health Center's clinical, education and research infrastructure.

Bioscience Connecticut is a multifaceted plan that will strengthen our state's position as a national and global center for bioscience innovation and improve access to quality health care for Connecticut citizens, while simultaneously securing the UConn Health Center's future as a top tier academic medical center.

Construction components of Bioscience Connecticut on the Health Center campus include:

- Renovating and modernizing, for the first time in its history, the Health Center's original research facilities on the Farmington campus. This will include expanding space for start-up bioscience businesses at the Cell and Genome Sciences Building.
- Constructing a new patient care tower.
- Renovating portions of the John Dempsey Hospital and the Clinic Building, Constructing, with private financing, a new UConn Health Outpatient Pavilion on the lower campus.
- Construction of an Addition to the Academic Building to support the planned 30% increase in Medical and Dental School class sizes

Programmatic components of Bioscience Connecticut include:

- Recruiting new faculty, including clinician-scientists, basic scientists and clinicians to increase healthcare access and double federal and industry research awards.
- Doubling existing business incubator space.
- Expanding the School of Medicine and School of Dental Medicine class sizes by 30 percent.
- Implementing a loan forgiveness program for UConn medical and dental school graduates who pursue careers in primary care in Connecticut.
- Partnering with local hospitals and health care organizations to address pressing health care needs.

Also, the General Assembly, led by the efforts of Senate President Pro Tempore Donald Williams, passed legislation which was embraced by Governor Malloy that provided \$172.5 million in funding to create a Technology Park on the Storrs campus. The new Tech Park, like Bioscience Connecticut, will be a critical component of Connecticut's plan to stimulate long-term economic growth by supporting innovation, new technologies and the creation of new companies and high-paying, sustainable jobs. Twenty-first century tech park models emphasize invention, incubation and entrepreneurship. UConn's Tech Park will hit the mark by promoting academic and industrial partnerships and by providing the physical and intellectual capacity to foster discovery.

In October, 2011 just months after the passage of the capital investment at the University, the General Assembly adopted in special session, Public Act 11-2 establishing the Connecticut Bioscience Collaboration Program (the "Collaboration") supporting the establishment of a bioscience cluster anchored by the world renowned Jackson Laboratory. The Jackson Laboratory Center for Genomic Medicine research building, located on the Health Center's campus in Farmington, is well into construction and scheduled to open in October 2014. The first groups of the Jackson Laboratory researchers are on site working in leased space on the Health Center campus, awaiting the new building opening.

The construction work related to the Bioscience Connecticut initiative continues at a rapid pace. The projects are on budget and, while winter weather has been an issue hindering productivity, the projects are expected to complete on schedule. Through the end of February, 2014 over 1950 construction workers have worked on the UCHC projects. 80% of the construction contracts

have been awarded to Connecticut companies and the Minority/Women/Disadvantaged Business participation is projected to achieve over 21%, exceeding the statutory minimum of 6.25% by approximately 15%.

The first phase of the research lab renovations in the Main Building is completing and scientist are moving into the newly renovated space. These new research labs are the first programmatic space completed under the Bioscience CT program. In addition, two of three planned parking garages opened in 2013. The UConn Health Outpatient Pavilion (formerly named the Ambulatory Care Center) Project, the Hospital Tower, the Main Building lab renovation area, and the Jackson Lab Building are all very active construction sites.

In July 2013, the General Assembly adopted Public Act 13-233 establishing Next Generation Connecticut for the purposes of construction, renovations, infrastructure and equipment related to the development of preeminence in The University of Connecticut's research and innovation programs. Pending annual appropriations, the Next Generation initiative will also allow the University to (1) hire and support outstanding faculty, (2) train and educate more science, technology and engineering (STEM) graduates to meet the future workforce needs of Connecticut, and (3) initiate collaborative partnerships that lead to scientific and technological breakthroughs. As part of Next Generation Connecticut the University shall report not later than January 1, 2016, and annually thereafter, in accordance with the provisions of section 11-4a, to the joint standing committees of the General Assembly having cognizance of matters relating to finance, revenue and bonding, commerce and higher education on its progress toward achieving the goals set forth in the plan developed pursuant to section 3 of this act. The report shall include a summary of the research and economic development activities of the university.

The following is a brief description of the named projects included in the FY14 Capital Budget or previous budgets, which are currently in planning, design or construction.

### **Storrs & Regional Campuses**

#### ***Arjona Renovation***

The planned renovation of the Jaime Arjona Building was completed in time for occupancy prior to the start of the 2013 fall semester. The scope of work included installation of air conditioning throughout the building and in the two ground floor auditoria; architectural finishes upgrades, lighting, electrical information technology, plumbing upgrades, window renovations and the installation of a new fire alarm system.



#### ***Avery Point Campus Undergraduate and Library Building***

During Phase I of the UCONN 2000 program, the need for research space was addressed with the new Marine Sciences building, as well as construction of a new facility for Project Oceanology. With the



repairs to the Branford House and the installation of new infrastructure, the needs for administrative space were met. The remaining significant need was for undergraduate program space, previously housed in the WWII era former Coast Guard facilities. This project supported new and renovated undergraduate co-curricular space and upgraded an auditorium to correct code violations. Construction was completed in August 2013.

Buildings 21 and 23 (formerly known as the Coast Guard Research & Development Barracks and Mess Hall) are located at the center of the Avery Point campus and total approximately 460,000 square feet. The buildings were built in the 1930's, and were actively used until the early 1970's. Over the last 30 years, the use of the buildings has diminished, and ultimately came to an end in 2006. Due to the building's size, current condition and obsolete use, it was the recommendation of the engineers and campus administrators that the buildings be removed. The area can then be reused for landscaping and exterior public space. The demolition is scheduled to take place over two summers (2014 and 2015).

### ***Babbidge IT and Phone Functional Areas – Heating and Air Conditioning and Electrical Upgrades***

This project provided an upgrade to the air conditioning, electrical, lighting, fire safety, and data cabling systems in the Phone Switch Room and the Data Center, located in the basement of the Babbidge Library. University Information Technology Services (UITS) has significant investment in Information Technology (IT) infrastructure located in the sub-basement of the Babbidge Library. The mission critical campus phone switch, data, and TV network hubs are housed here. These areas had been in continuous service for over seventeen (17) years, and were operating beyond their intended life, and were over crowded with heat-producing technology equipment. The Computer Room Air Conditioning (CRAC) systems were unreliable, lacking capacity and redundancy and the emergency power supply lacked sufficient capacity. The wet-pipe sprinkler system for fire protection was outdated. The University prepared plans which replaced the (CRAC) systems and added emergency power. The new CRAC systems utilized chilled water from the central campus system and now have stand-alone capacity for redundancy. The existing fire suppression system were converted to a dry pipe system and a new gaseous, clean-agent fire suppression system. Construction is complete.

### ***Bishop Renovation***

The Merlin D. Bishop Center was opened in 1971 and served as the Center for Continuing Studies until late spring 2012. This three-story, 36,000 square foot facility has had minimal renovation since its original construction and was heavily used as a conference center over the last several years. Portions of the building were reallocated to the School of Fine Arts to provide academic program space for Printmaking and Digital Media and Design in summer 2012. Additional renovation of various classroom lab upgrades was completed in January 2014.

### ***Deferred Maintenance/Code/ADA Renovation Lump Sum***

The 2007 amendments to the law define the “deferred maintenance” portion of the project name as “repair of an infrastructure or structure that was not maintained, repaired or replaced in the

usual course of maintenance and repair.” In general, projects fall into one or more of the following categories:

- Safety, code and ADA required improvements
- Roof and exterior repairs
- Building mechanical system improvements
- Utilities repairs and upgrades
- General building renovations
- Roads, walks and grounds
- Environmental remediation

The Phase III project budget now stands at \$212.8 million.

### ***Engineering/Science Building***

The School of Engineering is located in several buildings, five on the main Storrs campus and four at the Depot campus. The three oldest and least renovated buildings on the main campus were built between 1959 and 1987 and can no longer support emerging interdisciplinary engineering programs such as bioengineering and nanotechnology.

A new five story Engineering/Science building is planned to be located at the site of the Old Central Warehouse. Design Development is complete and the design of the building is progressing and anticipated to be completed in October 2014. A construction manager has been selected and a contract for preconstruction services awarded. The project will be bid in November 2014 and construction is anticipated to begin in February 2015 and be completed in late 2016. In order to prepare for construction, the Old Central Warehouse is planned to be demolished in the spring/summer 2014 and site preparation and utility work will occur in the fall of 2014.

Deferred maintenance projects are ongoing including code upgrades, mechanical improvements and interior renovations in all engineering buildings.

### ***Equipment, Library Collections & Telecommunications***

The enhancement of the University’s infrastructure includes its instructional and scientific equipment. The equipment replacement category permits the University to replace outmoded items with state of the art laboratory devices and computers. The funding encompasses seven major categories: management information systems, computers, research equipment, instructional equipment, furnishings, operational and public safety support and library materials. Library materials are no longer purchased with UCONN 2000 funds; this expense is part of the operating budget. The total Phase III Equipment, Library Collections & Telecommunications budget is now projected at \$89.0 million.

### ***Fine Arts Phase II***

Numerous planning and design efforts since 1991 have evaluated the needs of the School of Fine Arts. In light of the changes in these programs, the Storrs Center initiative, and the dispersal of the School of Fine Arts (SFA) facilities throughout the Storrs and Depot campuses since 1991, a revised Master Plan has assessed the condition of the current facilities, updated the program

requirements of the School, and made draft recommendations to guide the use of UCONN 2000 funds for the School. The scope of this project has been focused to address the façade issues in the Drama and Drama Music building and the need to centralize functions into a multipurpose production facility, including scene, stage, welding and lighting production facilities. The University has selected an architectural team to implement the program. The project is in the late planning phase with projected completion in fiscal year 2016.

### ***Floriculture Greenhouse***

This facility is located on Route 195, south of the Towers Dormitories. Constructed in 1953, it contains 23,750 square feet of headhouse and greenhouse space. The headhouse has both classroom lab space and support space for the greenhouse. The original materials used to construct this facility required a large amount of annual maintenance given the significant impact of a greenhouse environment.

Construction began in late summer 2011 to renovate the headhouse, provide updated mechanical infrastructure, resolve outstanding ADA and code issues, and increase curriculum support spaces. A new research greenhouse provides a critically needed sponsored research and curriculum support facility. The upgraded heating system was completed in November 2013 and the project is now complete.



### ***Gant Building Renovations***

This complex, which includes the Institute of Materials Science, Physics and Math buildings, was completed in the early 1970's. The complex has a total of 238,000 square feet of space with offices, research labs, classrooms and computer facilities. The building now operates beyond its useful life. A major renovation or replacement of the space is required to address the physical deterioration, to update the facilities and to meet current program requirements. A partial renovation project for repair of the building envelope (roofs, windows and masonry), improvement of fire alarm and fire suppression systems, and upgrades to mechanical, electrical and plumbing systems was designed and bid. The project also addressed safety issues in regard to the façade deterioration and the north and south stair towers. The work was completed in February 2014.



Edward V. Gant Science Complex

### ***Heating Plant Upgrade***

The University completed an expansion to the existing heating plant, a new Cogeneration system in 2006. At the time of construction, space to accommodate a future chiller and emergency generator were made should the University require additional cooling and power. The advent of the Next Gen program necessitates several internal updates to the plant, including condensate piping repairs, new controls, the additional chilled water generator and the emergency generator. The projects for improvements to the controls and condensate systems are in construction. The

project to install additional chilled water capacity is ready for procurement. The design has just started for the emergency generator.

### ***Law School Renovations/Improvements***

In May 2000, as part of a plan to undertake renovations to the Starr building (former Law Library building), a facility program was developed for all original campus buildings. Starr Hall (50,750 square feet), Chase Hall (19,500 square feet), Hosmer Hall (43,072 square feet) and Knight Hall (13,500 square feet) are office and classroom buildings on the Law School campus. These buildings are listed on the National Historic Register. The University has completed masonry restoration on Starr Tower and Chase and Hosmer Halls and replacement of seating in the Davis Courtroom. Future renovations to the remaining buildings will be completed as funding becomes available.



### ***Manchester Hall Renovation***

The Harry Grant Manchester Hall is a 28,500 square foot office and classroom building constructed in 1940 and required various upgrades and repairs to the exterior envelope. The building is listed on the National Historic Register and the design team worked closely with the State's Historic Commission Officer to ensure compliance. The University developed contract documents for the installation of new windows and repair to the façade and roof. The work was completed in the summer of 2012. A minor project to address deficiencies to the electrical distribution within the building was completed in the summer of 2013.

### ***Mansfield Training School Improvements, Phases II & III***

The Mansfield Training School site, also known as the Depot Campus, has a variety of facilities in mostly fair to poor condition. Several of the buildings have been moderately renovated to serve as incubator or transition space for some academic and administrative purposes, some spaces to be used as storage facilities, and others to be used by operations for maintenance shops, storage and office space. Some of the oldest facilities are no longer in use, have been permanently closed and are in various stages of disrepair and degradation.

This project, in its two phases, will stabilize some of the facilities that comprise the former Mansfield Training School. Funding to date has been used for renovations in the Longley building (for Engineering research functions and the Technology Incubator Program), the Thompson building (for Fine Arts functions), Merritt Hall (for research incubator technology programs and other administrative activities), a campus-wide high voltage electrical system, mechanical and electrical system improvements to various cottages, roof repairs and the construction of new student recreation fields. Additional renovations, electrical improvements and demolition of uninhabitable buildings will be included in later years of the program.

In addition to the improvements that have been made to the useable facilities outlined above, the next phase of work will focus on identifying the buildings to be demolished, securing the buildings that will remain but are not actively used in the near term, and developing a strategy for the long term university use of the property.

### ***North Hillside Road Completion***

This project provides for the extension of Hillside Road by 5,300 lineal feet to Route 44. The project also provides for related utilities including gas, electrical, water, sewer and telecommunications under the new extension. The project will enhance access to the Storrs campus directly from Route 44 and provide sorely needed relief from traffic congestion on Route 195. Additionally, both the road and accompanying utilities will permit future development of the North Campus which is envisioned as the primary area of expansion for the main campus, permitting new University buildings, residential capacity, and public/private partnerships. The Town of Mansfield is supportive of the road project. UCONN 2000 funds will be augmented by \$5.8 million in Federal funds granted to the University for this project as well as funds provided for the University's new Tech Park. The National Environmental Assessment was completed which allowed the project to move forward by authorizing the University to apply for various regulatory permits from the Departments of Energy and Environmental Protection and Transportation in late 2012. Design of the road is complete and has been submitted to Con DOT for approval. The project is planned to be bid in April 2014 and construction anticipated to begin in May 2014. The road is planned to be open to traffic in November 2015. Final landscaping is anticipated to be completed in the spring of 2016.

### ***Psychology Building Renovation/Addition***

The Weston A. Bousfield Psychology Building contains 87,000 square feet and was constructed in 1974. Its three levels contain offices, classrooms, research laboratories and animal care facilities. Previously in the UCONN 2000 program, the animal care facilities were renovated and roofs and plazas re-built. The programs of the Psychology Department are in increasingly high demand with expanded enrollment and rapidly increasing research activity. An addition with selective renovations to the existing structure was deemed essential. The new addition provides improved laboratories for various clinical and research programs and other improvements



included new sprinklers, roof, and repairs of leaking concrete walls as well as electrical and acoustical upgrades. The University, through a Construction Manager at Risk, began construction in spring 2012 and the project was completed in August 2013.

### ***Residential Life Facilities***

This named project represents the overarching authorization to undertake activities to provide housing and dining facilities for the University's students. Although the quantity and the diversity of campus living arrangements were expanded under the first two phases of UCONN 2000, much remains to be done. Some renovations of the older dormitories, code improvements and sprinkler installations were accomplished. Multiple projects were completed for the installation of sprinkler systems, replacement of elevators, as well as windows and roofs in various residential facilities.

The developments of two new residence halls are currently in the planning stages. One residence hall will be dedicated to the STEM initiative and have a living/learning community, as well as, approximately 700 beds. A bridging architect has been chosen and is proceeding with Concept Design, with the current target completion date for the project in the Fall 2016. The second residence hall will house Honors students and is in the preliminary site planning stage on the South side of campus.

### ***Stamford Residential Housing***

The University has commenced an evaluation process to provide residential housing at or near the UConn Stamford campus. A Request for Expressions of Interest was published in December 2013 and the University is in the process of publishing a Request for Proposal based on the initial responses. The current goal is to have housing available for the fall 2017 semester.

### ***Technology Quadrant Phase III***

Public Act 11-57, Section 92 provides funding in the amount of \$172,500,000 for the UConn Technology Park project and allows this project to be managed through the authority of the UCONN 2000 Program. This project provides for the development of the first building in a technology park located along the North Hillside Road Extension. The initial facility will consist of an 113,000 square foot Innovation Partnership Building that will house World Class equipment and offer shared laboratories for use by industry scientists and business entrepreneurs as they work side-by-side with the University's research faculty. The initial building will be completed in 2016 with specialty labs complete in early 2017.

Other work anticipated on this project include development of underground utilities and an increase in the existing water supply for the University and the Town of Mansfield. An environmental assessment for the new water supply was completed and approved by OPM in September 2013. The new water supply will be an interconnection between the UConn system and the Connecticut Water Company's (CWC). The University and CWC finalized a contractual agreement in December 2013. Design and permitting is expected to be complete by the end of 2014. Construction is anticipated to begin 2015, contingent on permitting, and run until sometime in the second half of 2016.

### ***Hartford Relocation Acquisition / Renovations***

The University evaluated options for a potential relocation of the West Hartford campus to downtown Hartford and chose a site in the central downtown location (*formerly the Hartford Times Building*). The existing historic building façade will be integrated into the new design, which is in the preliminary stages of design. The University is evaluating strategic partnerships with the neighboring institutions and businesses in order to avoid over-building and will use underutilized existing space in the market. The result will be a neighborhood campus that helps to revitalize downtown Hartford.

### ***Young Building Renovation/Addition***

This building was constructed in 1953 and has 71,937 square feet of office, classroom and lab spaces. The occupant of the building is the College of Agriculture and Natural Resources. Due to the College's laboratory needs and the generally poor condition of all of the building systems, this building was slated for a full renovation and an addition. The first phase renovation project

scope consisted of window replacement; masonry repointing; restroom renovations; and mechanical system upgrades (including laboratory ventilation), and the project was completed in August 2013. In January 2014, the University began the design of the exterior envelope repair and construction is planned to be completed in the fall of 2014.



### **UConn Health Center (UHC)**

#### ***UHC New Construction and Renovation New Hospital Bed Tower***

The existing John Dempsey Hospital (JDH) building lacks the capacity to accommodate evolving standards of care, new technologies and patient/provider expectations. Since construction completion in 1972, JDH's physical plant infrastructure, including mechanical, electrical, plumbing, HVAC and fire alarm systems and telecommunication cabling, has never been substantially renovated. The addition and renovation will provide a new state of the art

platform, including robust information technology systems, and new essential medical equipment for the delivery of healthcare and the education of the State's medical and dental students.

This project will construct a new 169 patient bed tower as an addition to the existing main Hospital (H) Building. The addition will include space for 13 new operating rooms and a new 42 bay Emergency Department. The renovation work will relocate the Clinical Lab and the Clinical Pharmacy programs and will replace or refurbish the mechanical and electrical infrastructure for the much of the existing H building.

The project's scope was expanded as part of the Bioscience Connecticut initiative to include 26,000 gross square feet of clinical support space and a second 400 car parking garage. The clinical support space will allow medical support services to be combined into one location.



UCHC Parking Garage 3

The project is being implemented in phases as follows:

- Phase 1: New Parking Garage 3 and site utility work (construction was completed in April 2013 and the garage is in full use).
- Phase 2: Construction of the new bed tower, Emergency Department, Operating Room suite, and the new Parking Garage 2 (Construction began in June 2013 and the project is on schedule for early 2016 completion).
- Phase 3: Renovations to the existing Hospital (H) building (construction to begin after the completion of the bed tower in 2016).

### ***Clinic Building Renovations***

The existing clinical area of the Main Building will be partially renovated as part of the BioScience Connecticut capital program. The focus of the renovations will be the Dental School's teaching clinics and the Pat and Jim Calhoun Cardiology Center. The project will also replace outdated mechanical and electrical building infrastructure components. UCHC



and the architects began planning work in March and the project is now in the Schematic Design Phase. The planning and design work will continue through mid-2014.



### ***Academic Building Addition and Renovations***

Originally conceived as a separate floor in the new bed tower, additional medical education space is planned to support the planned 30% increase in dental and medical student class sizes. As a result of conceptual planning work, the education space is now planned as an addition to the existing Academic (A) building. This approach will provide a centralized location for the majority of the education activities. UCHC and the architectural team began planning and design work in March 2013 and work has progressed to the completion of the Design Development phase. Design work will continue through June, 2014. Early enabling construction work such as site work and demolition is planned to begin in July 2014.

### ***CLAC Renovation***

This project entails renovations to the building housing the Center for Laboratory Animal Care (CLAC). The 59,000 square foot facility was built in 1972. The focus of the renovation work for the facility will be the replacement of the aged mechanical systems and lab casework. The planning study for the CLAC renovations is complete. The design team completed full design in December 2012. This project's construction is underway and the work will continue until completion in early 2015.

### ***Deferred Maintenance/Code/ADA Renovation Lump Sum***

The 2007 amendments to the law define the "deferred maintenance" (DM) part of the project name as "repair of an infrastructure or structure that was not maintained, repaired or replaced in the usual course of maintenance and repair." In general, projects fall into one or more of the following categories:

- Roof and exterior repairs
- Safety, code and ADA required improvements
- Building mechanical system improvements
- Utilities repair and upgrades
- General building renovations
- Roads, walks and grounds
- Environmental remediation

The Phase III total is \$49.5 million.

### ***Dental School Renovation***

Construction for the first phase of this project, the Pre-Clinical Teaching Lab renovations, was completed in 2011. The project relocated and expanded the teaching space and incorporated a teaching simulation center into the Dental School's teaching labs.

The second phase work was completed in December 2012. This work included renovations to approximately 3,000 square feet of existing Dental School space in the Main Building. The renovated space, adjacent to the Dental Clinical Research Center, provides the Dental student's with a 24/7 open-access support area during their clinical practicum (DMD Year 3 and Year 4).

### ***Equipment, Library Collections and Telecommunications***

These funds support the Health Center's equipment, library collections and telecommunications infrastructure. More specifically, the project line covers computers, management information systems, research equipment, instructional equipment, furnishings, and operational and public safety support and library materials. Outmoded items must be replaced with equipment that is necessary to support research and instructional activities, maintain building compliance, conserve energy and provide a safe environment for the students, staff, and those who use Health Center services. The Phase III total is \$63.6 million.

### ***Library/Student Computer Center Renovation***

This project focused on major renovations to the Lyman Maynard Stowe Library. The library service areas were reorganized and consolidated, new computer learning and resource areas were

added and the student 24 hour study rooms were expanded. The renovations to the book stack areas were also included as part of the project and with the exception of one final book stack area, the construction is complete (*completed in 2008*) and the spaces are in use by the students.

The Student Center component of the project will address student life spaces. The new Student Lounge was completed in October 2011.

### ***Main Building Renovation***

The Health Center's Main building includes areas used by the general public as well as research, academic and clinical space. The focus of this renovation is the building's research facility and major building mechanical systems. The facility consists of seven floors, which house over 200 research labs and support space, and four floors of mixed use that include classrooms, student support spaces, operations support and mechanical spaces. Over the life of the building, no substantial renovations or upgrades have been undertaken.



Proposed Renovated Lab space in the Main Building

As an early phase of this project, the Clinical Skills Renovation, was completed in December 2007. The project renovated a portion of the Main Building to allow for the relocation and expansion of the Clinical Skills teaching program. The expanded program includes the use of a life-size programmable mannequin with a computerized graphical user interface used to teach clinical and decision making skills during realistic patient care scenarios.

The current project underway for the Main Building renovation focuses on the Health Center's largest research facility, the "L" (LAB) building, which houses over 200,000 square feet of research labs and support space. The goal of the project is to reconfigure the lab spaces and create larger, more flexible lab layouts and the phased replacement of the building heating and air conditioning, electrical, and plumbing systems across seven floors of the building.

This project's scope was expanded as part of the Bioscience Connecticut initiative. The original scope of work included renovation to approximately 50% of the space on floors 1-7 in the L building. Bioscience Connecticut now allows the majority of the lab space to be renovated. The renovations will be completed in two projects and each project will have 3 phases. The first phase of the first project began in December 2012 and construction is substantially complete. The moves into the newly renovated labs are underway. The next phase of the project will begin in May and will finish in early 2015.

### ***Research Tower: Addition to the Cell and Genome Science Building***

The Bioscience Connecticut initiative calls for 28,000 gross square feet of space dedicated to research incubator labs. The incubator lab space will be constructed at the 400 Farmington Avenue site as an addition to the Cell and Genome Sciences Building. This new addition will add to the existing incubator lab program at this location. Planning work continues for the

project Design Development Phase is complete. The final design work will finish in mid 2014 and construction is scheduled to begin in the fall.

### **UCONN 2000: SET-ASIDE CONTRACTOR**

Public Act 99-241 called for, among other things, information regarding use of Connecticut-owned businesses on UCONN 2000 program projects, including those owned by women and minorities (“set-aside” contractors). Since Fiscal Year 1996, construction and related contracts for the UCONN 2000 program totaled \$2.2 billion from all fund sources; \$1.7 billion is strictly UCONN 2000 funds. As of April 2014, Connecticut businesses have accounted for \$1.37 billion of the total contracted dollars with “set-aside” general contractors and subcontractors accounting for \$413.3 million. Overall, small business participation has amounted to \$259.5 million, minority business participation has amounted to \$51.4 million, and women-owned business participation has amounted to \$123.3 million.

### **UCONN 2000: FINANCE**

Pursuant to Section 10a-109x of the Connecticut General Statutes, the semi-annual report to the General Assembly is to provide, among other things, information on the number of projects and securities authorized, approved and issued; the payment of debt service requirements, and the payment of principal and interest on the UCONN 2000 securities; and the amount of investment earnings. This section provides that information.

In July 2013, Governor Malloy signed Public Act 13-233, “An Act Concerning Next Generation Connecticut”, which extended the UCONN 2000 program another six years until Fiscal Year 2024; increased the statutory authorizations for the UCONN 2000 bonds secured by the State’s debt service commitment by \$1.551 billion for a total of \$4,282,900,000; changed certain fiscal year bond authorizations; added a new named project called “Academic and Research Facilities”; and also added the housing language to the “Stamford Campus Improvements/Housing” project. There is also an operating budget component reflected in the University’s State appropriation totaling \$137 million through Fiscal Year 2024. Public Act 13-184, “An Act Concerning Expenditures and Revenues for the Biennium ending June 30, 2015” appropriated an additional \$15 million of operating funds in Fiscal Year 2015 for this purpose. Beyond 2015, the funds are subject to the annual legislative appropriations approval process.

In July 2011, Governor Malloy signed Public Act 11-75, “An Act Concerning the University of Connecticut Health Center”, the Bioscience Connecticut initiative, which increased the statutory authorizations for the UCONN 2000 bonds secured by the State’s debt service commitment by \$262,900,000 for a total of \$2,731,900,000; changed certain fiscal year bond authorizations; eliminated a prior provision relating to the \$100 million contribution of federal, private or other non-state money; and provided for funding to renovate research laboratory space and create additional business incubator space. The initiative is expected to secure the State’s position as a national leader in bioscience research and help jumpstart the economy by creating construction jobs immediately and generating long term sustainable economic growth based on bioscience research, innovation, entrepreneurship and commercialization. The Connecticut Bioscience Initiative will allow the Health Center to increase its capabilities for bioscience research, expand its small business incubator facilities, add more scientists, and increase medical and dental school enrollment by 30 percent to meet future workforce needs.

On August 25, 2011, at a ceremony on the Storrs Campus, the Governor signed Public Act 11-57 calling for creation of a world-class Technology Park on the Storrs Campus to serve as a cornerstone for a new Connecticut research triangle. The Technology Park is expected to generate hundreds of new jobs in Connecticut, encourage new business growth, and leverage millions of dollars in federal and private research funding. The Technology Park work will be linked to UConn's Health Center in Farmington including the Connecticut Bioscience Initiative, and to the work being done in New Haven by Yale and others across the State. On August 26, 2011, the State Bond Commission authorized the release of \$18 million in State General Obligation bonding to cover the project's initial design and development costs. On April 26, 2013, the State Bond Commission authorized the release of \$20 million in State General Obligation bonding to cover the purchase of equipment. The extension of North Hillside Road to Route 44 in Storrs will allow the development of up to one million square feet of research, technology and academic space, on a 300-acre parcel in the North Campus consistent with the University's long-range Master Plan. The Technology Park will provide important incubation space for entrepreneurs with innovative ideas who would benefit from guidance by faculty experts in the field. A 2007 feasibility analysis for the park indicated that with new developments at the University occurring since UCONN 2000 began – such as significant growth in research, and a mature system for technology transfer and commercialization – UConn was in a strong position to support a successful technology park in Storrs.

During the October 2011 special session, the Connecticut General Assembly adopted Public Act 11-2 which established the Connecticut Bioscience Collaboration Program (the "Collaboration") and authorized \$290,685,000 of State general obligation bonds to be issued over a ten year period and to be deposited in the Connecticut Bioscience Collaboration Fund. The Collaboration will support the establishment of a bioscience cluster anchored by the Jackson Laboratory for Genomic Medicine, a research laboratory, located on UCHC's Farmington campus.

### **General Obligation Bonds Secured by the State's Debt Service Commitment**

Section 10a-109 of the Connecticut General Statutes empowers the University to issue General Obligation Bonds secured by the State's Debt Service Commitment (sometimes referred to as "General Obligation Debt Service Commitment Bonds" or "GO-DSC Bonds"). These Bonds are issued pursuant to the General Obligation Master Indenture of Trust, dated as of November 1, 1995, between the University of Connecticut, as Issuer, and Fleet National Bank of Connecticut as Trustee (now U.S. Bank N.A.). The University's Board of Trustees on November 10, 1995, and the State Bond Commission on December 21, 1995 approved the Master Indenture of Trust. The Master Indenture was subsequently amended and approved by the University's Board of Trustees on September 26, 2003 and the State Bond Commission on December 19, 2003. The Board of Trustees and the Governor approve the subsequent Supplemental Indentures for each bond issue. The University and the Office of the State Treasurer, working in conjunction, manage the Debt Service Commitment Bond sale process.

### **General Obligation Debt Service Commitment Bonds – Bond Issues Completed**

There have been 22 bond issues for the UCONN 2000 program including 6 refunding bond issues under the State's Debt Service Commitment. Pursuant to Section 10a-109g of the Connecticut General Statutes, the UCONN 2000 General Obligation Debt Service Commitment Bonds authorized, approved and issued to date are listed below:

**UCONN 2000 GO-DSC**  
**Bonds Phase I, II & III**

| <b><u>Date of Issue</u></b>              | <b><u>Par Amount</u></b>  | <b><u>TIC</u></b> <sup>(1)</sup> | <b><u>General Obligation</u></b><br><b><u>Bond Issue</u></b> |
|--|---------------------------|----------------------------------|--|
| February 21, 1996                        | \$ 83,929,714.85          | 4.94%                            | 1996 Series A  |
| April 24, 1997                           | 124,392,431.65            | 5.48%                            | 1997 Series A  |
| June 24, 1998                            | 99,520,000.00             | 4.78%                            | 1998 Series A  |
| April 8, 1999                            | 79,735,000.00             | 4.46%                            | 1999 Series A  |
| March 29, 2000                           | 130,850,000.00            | 5.42%                            | 2000 Series A  |
| April 11, 2001                           | 100,000,000.00            | 4.54%                            | 2001 Series A  |
| April 18, 2002                           | 100,000,000.00            | 4.74%                            | 2002 Series A <sup>(2)</sup>                                 |
| March 26, 2003                           | 96,210,000.00             | 3.97%                            | 2003 Series A <sup>(3)</sup>                                 |
| January 22, 2004                         | 97,845,000.00             | 3.76%                            | 2004 Series A <sup>(4)</sup>                                 |
| March 16, 2005                           | 98,110,000.00             | 4.20%                            | 2005 Series A <sup>(5)</sup>                                 |
| March 15, 2006                           | 77,145,000.00             | 4.20%                            | 2006 Series A <sup>(6)</sup>                                 |
| April 12, 2007                           | 89,355,000.00             | 4.10%                            | 2007 Series A <sup>(7)</sup>                                 |
| April 16, 2009                           | 144,855,000.00            | 4.01%                            | 2009 Series A <sup>(8)</sup>                                 |
| May 25, 2010                             | 97,115,000.00             | 3.64%                            | 2010 Series A <sup>(9)</sup>                                 |
| December 12, 2011                        | 179,730,000.00            | 3.31%                            | 2011 Series A <sup>(10)</sup>                                |
| <u>July 31, 2013</u>                     | <u>172,660,000.00</u>     | <u>3.58%</u>                     | <u>2013 Series A <sup>(11)</sup></u>                         |
| <b>Sub-Total Phases I, II, &amp; III</b> | <b>\$1,771,452,146.50</b> |                                  |  |

**Refunding Bonds**

|                            |                         |              |  |
|----------------------------|-------------------------|--------------|--|
| January 29, 2004           | \$216,950,000.00        | 3.55%        | 2004 Series A Refunding <sup>(4)</sup>         |
| March 15, 2006             | 61,020,000.00           | 4.17%        | 2006 Series A Refunding <sup>(6)</sup>         |
| April 12, 2007             | 46,030,000.00           | 4.19%        | 2007 Series A Refunding <sup>(7)</sup>         |
| May 25, 2010               | 36,095,000.00           | 2.86%        | 2010 Series A Refunding <sup>(9)</sup>         |
| December 12, 2011          | 31,905,000.00           | 2.29%        | 2011 Series A Refunding <sup>(10)</sup>        |
| <u>July 31, 2013</u>       | <u>51,250,000.00</u>    | <u>2.71%</u> | <u>2013 Series A Refunding <sup>(11)</sup></u> |
| <b>Sub-Total Refunding</b> | <b>\$443,250,000.00</b> |              |  |

**Bonds**

**Total GO-DSC Bonds      \$2,214,702,146.50**

- <sup>(1)</sup> TIC is the true interest cost reflecting the interest rate for the time value of money across an entire bond issue.
- <sup>(2)</sup> The GO-DSC 2002 Series A Bonds provided \$994,688.03 directly to the Office of the State Treasurer.
- <sup>(3)</sup> The GO-DSC 2003 Series A Bonds par amount of \$96,210,000 plus \$3,790,000 of the original issue premium, totaled \$100,000,000 available for projects.
- <sup>(4)</sup> The GO-DSC 2004 Series A Bonds new money and GO-DSC Refunding 2004 Series A Bonds were issued under a single Official Statement with a par amount of \$216,950,000. The GO-DSC 2004 new money bonds totaled \$100,000,000 for projects, funded by the \$97,845,000 par amount plus \$2,155,000 of the original issue premium, and the GO-DSC Refunding 2004 Series A Bonds provided \$223,160,000 for a refunding escrow. Additional proceeds including premium funded the refunding escrow and the costs of issuance.
- <sup>(5)</sup> The GO-DSC 2005 Series A Bonds totaled \$100,000,000 available for projects funded by the \$98,110,000 par amount plus \$1,890,000 of the original issue premium. Phase II

- authorizations accounted for \$50 million and Phase III for \$50 million.
- (6) The GO-DSC 2006 Series A Bonds new money and GO-DSC Refunding 2006 Series A Bonds were issued under a single Official Statement with a par amount of \$138,165,000. The GO-DSC 2006 Series A Bonds new money totaled \$79,000,000 available for projects, funded by the \$77,145,000 par amount plus \$1,835,000 of the original issue premium, and the GO-DSC Refunding 2006 Series A Bonds provided \$65,472,900 for a refunding escrow. Additional proceeds including premium funded the refunding escrow and the costs of issuance.
  - (7) The GO-DSC 2007 Series A Bonds new money and GO-DSC Refunding 2007 Series A Bonds were issued under a single Official Statement with a par amount of \$135,385,000. The GO-DSC 2007 Series A Bonds new money totaled \$89,000,000 available for projects, and the GO-DSC Refunding 2007 Series A Bonds provided \$49,505,476.89 for a refunding escrow. Additional proceeds including premium funded the refunding escrow and the costs of issuance.
  - (8) The GO-DSC 2009 Series A Bonds par amount of \$144,855,000 plus \$5,145,000 of the original issue premium, totaled \$150,000,000 available for projects.
  - (9) The GO-DSC 2010 Series A Bonds new money and GO-DSC Refunding 2010 Series A Bonds were issued under a single Official Statement with a combined par amount of \$133,210,000. The GO-DSC 2010 Series A Bonds new money par amount of \$97,115,000 plus \$7,885,000 of the original issue premium, totaled \$105,000,000 available for projects; and the GO-DSC Refunding 2010 Series A Bonds par amount of \$36,095,000 plus \$2,609,428.69 of the original issue premium provided \$38,704,428.69 for the refunding escrow. Additional proceeds including premium funded the costs of issuance.
  - (10) The GO-DSC 2011 Series A Bonds new money and GO-DSC Refunding 2011 Series A Bonds were issued under a single Official Statement with a combined par amount of \$211,635,000. The GO-DSC 2011 Series A Bonds new money par amount of \$179,730,000 plus \$20,700,000 of the original issue premium, totaled \$200,000,000 available for projects; and the GO-DSC Refunding 2011 Series A Bonds par amount of \$31,905,000 plus \$4,936,565.62 of the original issue premium provided \$36,841,565.62 for the refunding escrow. Additional proceeds including premium funded the costs of issuance.
  - (11) The GO-DSC 2013 Series A Bonds new money and GO-DSC Refunding 2013 Series A Bonds were issued under a single Official Statement with a combined par amount of \$223,910,000. The GO-DSC 2013 Series A Bonds new money par amount of \$172,660,000 plus \$16,340,000 of the original issue premium, totaled \$189,000,000 available for projects; and the GO-DSC Refunding 2013 Series A Bonds par amount of \$51,250,000 plus \$6,978,911.08 of the original issue premium provided \$58,228,911.08 for the refunding escrow. Additional proceeds including premium funded the costs of issuance.

The sixteen series of UCONN 2000 General Obligation DSC bonds issued to fund projects total \$1,771,452,146.50 in par value and provided \$1,824,000,000.00 for UCONN 2000 project spending (excluding the UCONN 2000 General Obligation DSC Refunding 2004, 2006, 2007, 2010, 2011 and 2013 Series A Bonds). The remaining balance, together with accrued interest and net original issue premium, funded the costs of issuance and deposits to the State Treasurer.

### **General Obligation Debt Service Commitment Bonds – Projects**

During Fiscal Year 2005, the University finished Phase I and Phase II and initiated Phase III of the UCONN 2000 project authorizations secured by the State's Debt Service Commitment. The act provides for a total of \$4,282,900,000 of UCONN 2000 bonds secured by the State's Debt Service Commitment. To date, the following projects totaling \$2,078,400,000 have been authorized to receive General Obligation Debt Service Commitment bond proceeds:

| <b><u>Phases I &amp; II</u></b>                                   | <b><u>Authorized</u></b> |
|---|--------------------------|
| Agricultural Biotechnology Facility Completion                    | 3,000,000.00             |
| Agricultural Biotechnology Facility                               | 9,400,000.00             |
| Alumni Quadrant Renovations                                       | 11,183,623.91            |
| Avery Point Marine Science Research Center-Phase I                | 30,000,000.00            |
| Avery Point Marine Science Research Center-Phase II               | 7,254,246.03             |
| Avery Point Renovation  | 4,875,717.46             |
| Benton State Art Museum Addition                                  | 700,000.00               |
| Business School Renovation  | 7,958,470.42             |
| Central Warehouse New*  | 6,933,751.77             |
| Chemistry Building  | 53,062,000.00            |
| Deferred Maintenance & Renovation Lump Sum                        | 40,798,259.65            |
| Deferred Maintenance & Renovation Lump Sum Balance                | 117,386,096.72           |
| East Campus North Renovations                                     | 7,382,604.53             |
| Equipment, Library Collections & Telecommunications               | 60,500,000.00            |
| Equipment, Library Collections & Telecommunications Completion    | 105,812,000.00           |
| Gant Plaza Deck   | 7,287,174.10             |
| Gentry Renovation   | 9,664,596.69             |
| Grad Dorm Renovations   | 2,928,227.59             |
| Heating Plant Upgrade   | 9,969,000.00             |
| Hilltop Dormitory Renovations                                     | 8,176,528.89             |
| Ice Rink Enclosure  | 3,280,000.00             |
| International House Conversion (a.k.a. Museum of Natural History) | 886,134.00               |
| Litchfield Agricultural Center-Phase I                            | 1,000,000.00             |
| Mansfield Apartments Renovation                                   | 2,071,000.00             |
| Mansfield Training School Improvements                            | 3,500,000.00             |
| Monteith Renovation   | 444,348.00               |
| Music Drama Addition*   | 7,400,000.00             |
| North Campus Renovation   | 10,996,050.15            |
| North Superblock Site & Utilities                                 | 7,668,000.00             |
| Northwest Quadrant Renovation-Phase I                             | 2,001,000.00             |
| Northwest Quadrant Renovation-Phase II                            | 30,000,000.00            |
| Parking Garage-North  | 9,658,000.00             |
| Pedestrian Walkways (a.k.a. Fairfield Road Pedestrian Mall)       | 6,074,000.00             |
| School of Business  | 25,652,366.00            |

|  |                         |
|--|-------------------------|
| School of Pharmacy                           | 88,609,000.00           |
| Shippee/Buckley Renovations                  | 6,920,000.00            |
| South Campus Complex                         | 12,251,000.00           |
| Stamford Downtown Relocation-Phase I         | 55,781,471.55           |
| Student Union Addition                       | 44,622,633.00           |
| Technology Quadrant-Phase IA                 | 39,993,000.00           |
| Technology Quadrant-Phase II                 | 34,120,000.00           |
| Torrey Life Science Renovation               | 251,109.43              |
| Towers Renovation                            | 17,950,243.11           |
| Underground Steam & Water Upgrade            | 6,000,000.00            |
| Underground Steam & Water Upgrade Completion | 6,000,000.00            |
| Waring Building Conversion                   | 11,452,000.00           |
| Waterbury Property Purchase                  | 200,000.00              |
| West Campus Renovations                      | 519,507.20              |
| White Building Renovation                    | 2,427,268.80            |
| <u>Wilbur Cross Building Renovation</u>      | <u>19,999,571.00</u>    |
| <b>TOTAL PHASE I &amp; II PROJECTS</b>       | <b>\$962,000,000.00</b> |

\* Board of Trustees Added Project 2/22/2001

**Phase III - Storrs and Regional Campuses**

**AUTHORIZED**

|  |                |
|--|----------------|
| Academic and Research Facilities                             | 1,000,000.00   |
| Arjona and Monteith (new classroom buildings)                | 107,000,000.00 |
| Avery Point Campus Undergraduate and Library Building        | 10,585,532.48  |
| Avery Point Renovation                                       | 1,016,469.54   |
| Beach Hall Renovations                                       | 4,742,695.33   |
| Benton State Art Museum Addition                             | 2,903,508.88   |
| Biobehavioral Complex Replacement                            | 2,787,975.00   |
| Bishop Renovation  | 2,550,000.00   |
| Deferred Maintenance/Code/ADA Renovation Lump Sum            | 184,698,524.77 |
| Engineering Building (with Environmental Research Institute) | 7,000,000.00   |
| Equipment, Library Collections & Telecommunications          | 79,141,496.00  |
| Family Studies (DRM) Renovation                              | 2,868,306.20   |
| Farm Buildings Repairs/Replacement                           | 6,728,342.09   |
| Fine Arts Phase II   | 4,575,000.00   |
| Floriculture Greenhouse                                      | 6,750,000.00   |
| Gant Building Renovations                                    | 15,250,000.00  |
| Gentry Completion  | 9,628,208.95   |
| Hartford Relocation Acquisition/Renovation                   | 3,125,317.59   |
| Heating Plant Upgrade  | 2,875,000.00   |
| Intramural, Recreational and Intercollegiate Facilities      | 31,009,920.63  |
| Jorgensen Renovation   | 2,084,474.58   |
| Koons Hall Renovation/Addition                               | 1,080,057.00   |

|  |                                |
|--|--------------------------------|
| Lakeside Renovation                                | 3,800,000.00                   |
| Law School Renovations/Improvements                | 15,768,029.09                  |
| Manchester Hall Renovation                         | 807,264.00                     |
| Mansfield Training School Improvements             | 3,000,000.00                   |
| Natural History Museum Completion                  | 500,000.00                     |
| North Hillside Road Completion                     | 6,700,000.00                   |
| Old Central Warehouse                              | 126,000.00                     |
| Psychology Building Renovation/Addition            | 25,170,550.00                  |
| Residential Life Facilities                        | 18,117,722.01                  |
| School of Pharmacy/Biology Completion              | 6,000,000.00                   |
| Stamford Campus Improvements/Housing               | 1,500,000.00                   |
| Storrs Hall Addition                               | 14,987,927.25                  |
| Student Union Addition                             | 13,000,000.00                  |
| Torrey Renovation Completion and Biology Expansion | 1,500,000.00                   |
| Torrington Campus Improvements                     | 369,156.42                     |
| Waterbury Downtown Campus                          | 478,937.00                     |
| West Hartford Campus Renovations/Improvements      | 6,774,305.19                   |
| <u>Young Building Renovation/Addition</u>          | <u>19,223,430.00</u>           |
| <b>Storrs and Regionals Subtotal Phase III</b>     | <b><u>\$627,224,150.00</u></b> |

**Health Center**

|  |                                |
|--|--------------------------------|
| CLAC Renovation Biosafety Level 3 Lab  | 11,025,000.00                  |
| Deferred Maintenance/Code/ADA Renovation Sum — Health Center                       | 39,337,125.00                  |
| Dental School Renovation   | 5,000,000.00                   |
| Equipment, Library Collections and Telecommunications - Health Center              | 56,295,725.00                  |
| Library/Student Computer Center Renovation   | 1,400,000.00                   |
| Main Building Renovation   | 76,597,500.00                  |
| Medical School Academic Building Renovation  | 34,447,500.00                  |
| Planning and Design Costs  | 25,000,000.00                  |
| Research Tower   | 68,701,000.00                  |
| Support Building Addition/Renovation   | 100,000.00                     |
| <u>The University of Connecticut Health Center New Construction and Renovation</u> | <u>171,272,000.00</u>          |
| <b>Total — Health Center Project List</b>  | <b><u>\$489,175,850.00</u></b> |

|   |                                  |
|---|----------------------------------|
| <b>TOTAL PHASE III PROJECTS</b>                           | <b><u>\$1,116,400,000.00</u></b> |
| <b>TOTAL - GO-DSC BONDS: PHASE I, II AND III PROJECTS</b> | <b><u>\$2,078,400,000.00</u></b> |

\* The Old Central Warehouse was added by PA-07-108 effective 7-1-2007

### **General Obligation Debt Service Commitment Bonds – Refunding**

Pursuant to Section 10a-109m of the Connecticut General Statutes, the University may issue refunding securities. The University achieved a total of \$29.4 million in gross debt services savings for Connecticut taxpayers by issuing several series of General Obligation DSC Refunding Bonds as follows.

On January 29, 2004, the University achieved \$15.2 million in debt service savings for Connecticut taxpayers through the \$216,950,000 of UCONN 2000 General Obligation DSC Refunding 2004 Series A Bonds. Proceeds pre-refunded \$223,160,000 of the portions of the 1996, 1997, 1998, 2000, 2001 and 2002 UCONN 2000 General Obligation Debt Service Commitment Bonds. Debt service savings amounted to \$15,215,582.84 on a gross cash debt service savings basis, or \$10,117,718.77 on a net present value basis (4.53% savings over refunded bonds debt service) spread across fiscal years 2004 to 2020.

On March 15, 2006, the University achieved \$4.0 million in debt service savings through the issuance of \$61,020,000 of UCONN 2000 General Obligation DSC Refunding 2006 Series A Bonds. Proceeds pre-refunded \$61,675,000 of the portions of the 1998, 1999, 2000, 2001 and 2002 UCONN 2000 General Obligation Debt Service Commitment Bonds. Debt service savings amounted to \$4,003,734.09 on a gross cash debt service savings basis, or \$2,495,916.47 on a net present value basis (3.94% savings over refunded bonds debt service) spread across fiscal years 2006 to 2020.

On April 12, 2007, the University achieved \$1.7 million in debt service savings through the issuance of \$46,030,000 of UCONN 2000 General Obligation DSC Refunding 2007 Series A Bonds. Proceeds pre-refunded \$46,695,000 of the portions of the 2002 and 2003 UCONN 2000 General Obligation DSC Bonds. Debt service savings amounted to \$1,680,056.23 on a gross cash debt service savings basis or \$1,387,269.87 on a net present value basis (2.97% savings over refunded bonds debt service) spread across fiscal years 2008 to 2022.

On May 25, 2010, the University achieved \$1.8 million in debt service savings through the issuance of \$36,095,000 of UCONN 2000 General Obligation DSC Refunding 2010 Series A Bonds. Proceeds pre-refunded \$35,885,000 of portions of the 1999, 2001, 2003 and 2004 UCONN 2000 General Obligation DSC Bonds. Debt service savings amounted to \$1,791,739.17 on a gross cash debt service savings basis, or \$1,470,720.91 on a net present value basis (4.1% savings over refunded bonds debt service) spread across fiscal years 2011 to 2021.

On December 12, 2011, the University achieved \$1.9 million in debt service savings through the issuance of \$31,905,000 of UCONN 2000 General Obligation DSC Refunding 2011 Series A Bonds. Proceeds pre-refunded \$33,735,000 of portions of the 2003 and 2004 UCONN 2000 General Obligation DSC Bonds. Debt service savings amounted to \$1,918,938.89 on a gross cash debt service savings basis, or \$1,680,287.49 on a net present value basis (5.0% savings over refunded bonds debt service) spread across fiscal years 2011 to 2021.

On July 31, 2013, the University achieved \$4.8 million in debt service savings through the issuance of \$51,250,000 of UCONN 2000 General Obligation DSC Refunding 2013 Series A Bonds. Proceeds pre-refunded \$54,375,000 of portions of the 2004 and 2005 UCONN 2000

General Obligation DSC Bonds. Debt service savings amounted to \$4,768,953.75 on a gross cash debt service savings basis, or \$3,982,216.07 on a net present value basis (7.3% savings over refunded bonds debt service) spread across fiscal years 2014 to 2024.

**Special Obligation Student Fee Revenue Bonds Secured by Pledged Revenues**

UCONN 2000 also authorizes the University to issue Special Obligation Revenue bonds. Unlike the UCONN 2000 General Obligation Debt Service Commitment Bonds that are paid from the State’s General Fund, debt on the Special Obligation Bonds are paid from certain pledged revenues of the University as defined in the particular bond series indenture.

A Special Capital Reserve Fund may be established for UCONN 2000 Special Obligation bond issues only if the Board of Trustees determines that the Special Obligation bond issue is self-sufficient as defined in the Act. The self-sufficiency finding by the University must be submitted to and confirmed as not unreasonable or arbitrary by the State Treasurer prior to issuance of the bonds. Once approved, the Special Capital Reserve Fund is funded at issuance by the University to meet the minimum capital reserve requirement.

**Special Obligation Student Fee Revenue Bonds - Bond Issues Completed**

Section 10a-109x of the Connecticut General Statutes requires that the University’s Semiannual Report to the General Assembly provide information on the number of projects and securities authorized, approved and issued; the payment of debt service requirements, and the payment of principal and interest on the UCONN 2000 securities; and the amount of investment earnings. The UCONN 2000 Special Obligation Student Fee Revenue Securities authorized, approved and issued to date are listed below.

Student Fee Revenue Bonds have been issued pursuant to the Special Obligation Indenture of Trust, dated as of January 1, 1997, between the University as Issuer and U.S. Bank N.A. as successor to State Street Bank & Trust as Trustee (“the Special Obligation Master Indenture”). The Board of Trustees approved the Master Indenture on November 8, 1996. The University’s Board of Trustees and the Governor approve the subsequent Supplemental Indentures for each Special Obligation bond issue.

There have been six bond issues, including two refunding, under the Student Fee Revenue Bond Indenture that are not supported by the State’s Debt Service Commitment. The University’s Office of Treasury Services and the Office of the State Treasurer, working in conjunction, manage the Special Obligation Bond sale process. UCONN 2000 Special Obligation Student Fee Revenue Bonds issued to date are summarized below:

**UCONN 2000 SO-SFR Bonds**

| <b><u>Date of Issue</u></b> | <b><u>Par Amount</u></b> | <b><u>TIC <sup>(1)</sup></u></b> | <b><u>Special Obligation Student Fee Revenue Bond Issue</u></b> |
|-----------------------------|--------------------------|----------------------------------|---|
| February 4, 1998            | \$ 33,560,000            | 5.08%                            | 1998 Series A   |
| June 1, 2000                | \$ 89,570,000            | 6.02%                            | 2000 Series A <sup>(2)</sup>                                    |
| February 14, 2002           | \$ 75,430,000            | 4.94%                            | 2002 Series A   |
| February 27, 2002           | \$ 96,130,000            | 4.89%                            | Refunding 2002 Series A   |

|                   |               |       |                         |
|-------------------|---------------|-------|-------------------------|
| June 16, 2010     | \$ 47,545,000 | 3.14% | Refunding 2010 Series A |
| December 13, 2012 | \$ 87,980,000 | 2.44% | Refunding 2012 Series A |

<sup>(1)</sup> TIC is the true interest cost reflecting the interest rate for the time value of money across an entire bond issue. The UCONN 2000 Special Obligation Bonds are generally issued for an approximate 30-year final maturity, compared to a 20-year final maturity for the General Obligation DSC Bonds; hence the TIC may appear relatively higher for Special Obligation Bonds.

<sup>(2)</sup> The 2000 Series A bonds were refunded on February 27, 2002.

On February 4, 1998, the University issued \$33,560,000 of UCONN 2000 Student Fee Revenue 1998 Series A Bonds with a final maturity of November 15, 2027. The Special Obligation First Supplemental Indenture was also dated January 1, 1997 and authorized the issuance of bonds up to a principal amount not to exceed \$30,000,000 for construction of the South Campus Residence and Dining Hall, plus amounts necessary to fund a Special Capital Reserve Fund (“SCRF”) and provide for costs of issuance. The University managed the issuance and sale of these bonds and realized a favorable true interest cost over the term. Debt service for these bonds is paid from the student Infrastructure Maintenance Fee, instituted in 1997, and other pledged revenues as further defined in the Indenture of Trust. Such pledged revenues also help support future operation and maintenance costs for facilities built or renovated through UCONN 2000.

On June 1, 2000, the University issued \$89,570,000 of the UCONN 2000 Student Fee Revenue 2000 Series A Bonds pursuant to the Special Obligation Master Indenture and the Special Obligation Student Fee Revenue Bonds Second Supplemental Indenture dated as of May 1, 2000. Bond proceeds funded \$87,000,000 of construction for the Hilltop Dormitory, Hilltop Student Rental Apartments, and Parking Garage South. They also provided for capitalized interest and costs of issuance. The \$89,570,000 Student Fee Revenue 2000 Series A Bonds were defeased in substance on February 27, 2002, as further described below, and are no longer reflected as outstanding debt on the University’s financial statements.

On February 14, 2002, the University issued \$75,430,000 of the UCONN 2000 Student Fee Revenue 2002 Series A Bonds pursuant to the Special Obligation Master Indenture and the Special Obligation Student Fee Revenue Bonds Fourth Supplemental Indenture dated as of November 16, 2001. Bond proceeds funded \$72,180,000 of construction for the Alumni Quadrant Renovations, Shippee/Buckley Renovations, East Campus North Renovations, Towers Renovations (including Greek Housing), and North Campus Renovations (including North Campus Student Suites and Apartments).

### **Special Obligation Student Fee Revenue Bonds - Projects**

To date, nine projects have been authorized to receive \$189,180,000 of the UCONN 2000 Special Obligation Student Fee Revenue bond proceeds (some of these projects were also supported by General Obligation bonds or other funding) as follows:

| <b><u>Special Obligation Bonds</u></b>  | <b><u>Authorized &amp; Issued</u></b> |
|---|---------------------------------------|
| Alumni Quadrant Renovations <sup>(1)</sup>  | \$ 7,000,000                          |
| East Campus North Renovations <sup>(1)</sup>  | 1,000,000                             |
| Hilltop Dormitory New   | 21,000,000                            |
| Hilltop Student Rental Apartments   | 42,000,000                            |
| North Campus Renovation (including North Campus Student Suites & Apartments) <sup>(1)</sup> | 45,000,000                            |
| Parking Garage-South <sup>(1)</sup>   | 24,000,000                            |
| Shippee/Buckley Renovations   | 5,000,000                             |
| South Campus Complex  | 30,000,000                            |
| Towers Renovations (including Greek Housing) <sup>(1)</sup>                                 | <u>14,180,000</u>                     |
| <b>Total</b>  | <b>\$189,180,000</b>                  |

<sup>(1)</sup> Also partially funded with proceeds of the University's General Obligation bonds.

### **Special Obligation Student Fee Revenue Bonds - Refunding**

Pursuant to Section 10a-109m of the Connecticut General Statutes, the University may issue refunding securities. The University achieved a total of \$44.8 million in gross debt service savings (\$34.2 net present value) for Connecticut taxpayers by issuing three series of Special Obligation Student Fee Revenue Refunding Bonds as follows.

On February 27, 2002, the University achieved debt service savings of \$3,553,672 on a gross cash debt service savings basis or \$2,383,106 on a net present value basis (3.036% savings over refunded bonds debt service) through its issuance of \$96,130,000 of the UCONN 2000 Student Fee Revenue Refunding 2002 Series A Bonds. The bonds were issued pursuant to the Special Obligation Master Indenture and the Special Obligation Student Fee Revenue Bonds Third Supplemental Indenture, dated as of February 1, 2002. Proceeds were used to take advantage of favorable market conditions to advance refund and defease in substance all of the \$89,570,000 of Student Fee Revenue 2000 Series A Bonds outstanding. Proceeds were deposited with the Trustee bank in an irrevocable escrow fund sufficient to satisfy future debt service and call premiums on the prior issue.

On June 16, 2010, the University achieved debt service savings of \$9,479,927 on a gross cash debt service savings basis or \$7,211,753 on a net present value basis (9.915% savings over refunded bonds debt service) (including the freeup of \$2,126,425 of SCRF amounts), through its issuance of \$47,545,000 of the UCONN 2000 Student Fee Revenue Refunding 2010 Series A Bonds. The bonds were issued pursuant to the Special Obligation Master Indenture and the Special Obligation Student Fee Revenue Bonds Third Supplemental Indenture, dated as of February 1, 2002. Proceeds were used to take advantage of favorable market conditions to refund, advance refund and defease in substance \$51,305,000 of prior bonds (including \$25,140,000 of the Student Fee Revenue 1998 Series A Bonds and \$26,165,000 of the Student

Fee Revenue 2002 Series A Bonds outstanding). The par amount of \$47,545,000 plus \$4,267,926 of the original issue premium and \$2,126,425 from the 1998 Special Capital Reserve Fund release were deposited with the Trustee bank in a \$53,939,351.28 irrevocable escrow fund to satisfy future debt service and call premiums on the prior issues.

On December 13, 2012 the University achieved debt service savings of \$31,861,282.50 on a gross cash debt service savings basis or \$26,701,078.58 on a net present value basis (25.2% savings over refunded bonds debt service) spanning fiscal years 2013 thru 2030 by issuing \$87,980,000 of the UCONN 2000 Student Fee Revenue Refunding 2012 Series A Bonds. We achieved a stunning Total Net Present Value Savings of \$26,701,078.58, or 25.2% of the refunded principal. Working in conjunction with the Office of The State Treasurer, UCONN's Treasury Services negotiated a remarkable all in True Interest Cost of 2.48 % which is the lowest interest rate for a single bond issue in the history of the program. We refunded \$106,030,000 of prior bonds including \$32,430,000 of the SO-SFR 2002A new money bonds (all of the outstanding), and \$73,600,000 of the SO-SFR Refunding 2002 A bonds (all of the outstanding). The par amount of \$87,980,000 plus \$19,690,291.67 of the original issue premium were deposited with the Trustee bank in a \$107,670,291.67 irrevocable escrow fund to satisfy future debt service and call premiums on the prior issues. The bonds were issued pursuant to the Special Obligation Master Indenture and the Special Obligation Student Fee Revenue Bonds Third Supplemental Indenture, dated as of February 1, 2002.

#### **UCONN 2000 Heating Plant Upgrade Project Cogeneration Facility Tax-Exempt Governmental Lease Purchase Agreement**

The Board of Trustees authorized \$81,900,000 of UCONN 2000 debt in the form of a Tax-Exempt Governmental Lease Purchase Agreement secured by the University's general obligation for the Cogeneration facility portion of the UCONN 2000 Heating Plant Upgrade project.

This \$81,900,000 of UCONN 2000 debt was not issued under the UCONN 2000 General Obligation or Special Obligation Indentures of Trust, but was entered into under certain separately negotiated documents and agreements in two parts. On December 18, 2003, the University entered into a privately placed \$75,000,000 Tax-Exempt Governmental Lease Purchase Agreement with a reported nominal interest rate of 4.42% compounded monthly to finance the design and construction of a combined heat and power plant. On August 15, 2005, the University amended the agreement for an additional borrowing of up to \$6,900,000 at a 5.09% interest rate compounded monthly (resulting in a combined interest rate of approximately 4.5%).

The Heating Plant Upgrade project is a named project under UCONN 2000. The cogeneration facility is a linchpin of the University's commitment to energy efficiency and generates much of the needs for electrical power, heating and cooling on the Storrs campus. Cost avoidance achieved through the construction and operation of the facility is expected to provide funds to pay the debt service. An earlier phase of the Heating Plant Upgrade project was funded with UCONN 2000 General Obligation DSC bonds as listed above.

The Tax-Exempt Governmental Lease Purchase Agreement is not rated by the credit rating agencies but, as UCONN 2000 debt, it is weighted in their credit rating analysis of the UCONN 2000 General Obligation and Special Obligation programs.

**UCONN 2000 Heating Plant Upgrade Project Cogeneration Facility Tax-Exempt Governmental Lease Purchase Agreement– Refinancing To Lower Interest Rate**

During July 2013, the University achieved gross debt service savings of \$5.2 million by negotiating a lower interest rate amendment to the UCONN 2000 Heating Plant Upgrade Project Cogeneration Facility Tax-Exempt Governmental Lease Purchase Agreement. The interest rate was lowered, effective in the August 29, 2013 monthly payment, from 4.42% on the original \$75 million loan, and 5.09% on the original \$6.9 million loan, to 3.22% on both. The combined remaining balance of the loans was approximately \$59 million. The amendment will produce combined gross nominal debt service savings of \$5,168,392.06 million or \$3,238,326.69 (4.2%) on a net present value basis over the remaining 12 years and 5 months of the original financing.

**Debt Service**

Pursuant to Section 10a-109x of the Connecticut General Statutes, the Semiannual Report to the General Assembly is to list the payment of debt service requirements and the payment of principal and interest on the UCONN 2000 securities.

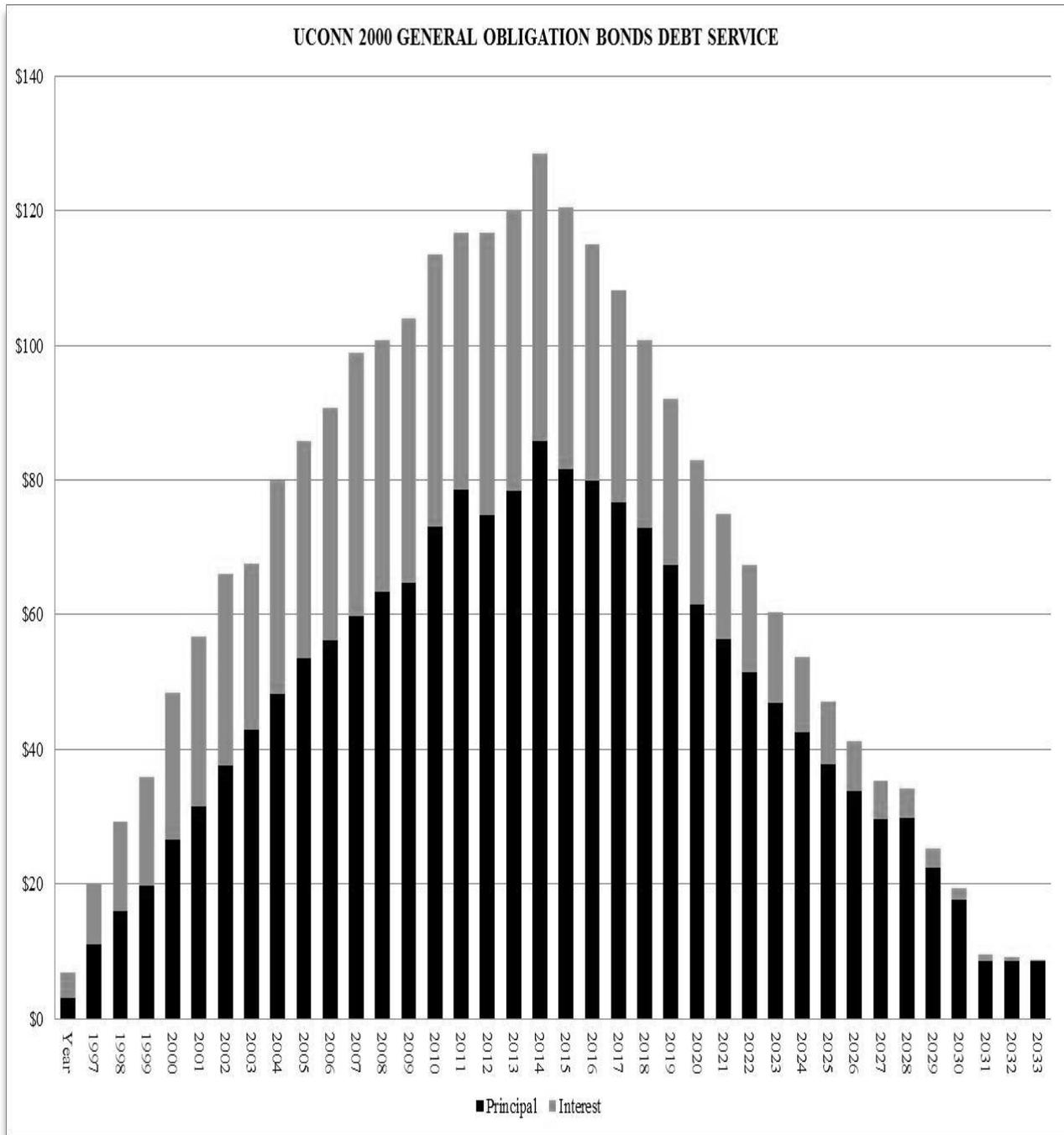
**General Obligation Debt Service Commitment Bonds**

The State General Fund pays the debt service and any arbitrage rebate due on the University's General Obligation Debt Service Commitment Bonds. The University pays the debt service on the Special Obligation Student Fee Revenue Bonds from its own resources. As of March 31, 2014:

- Since the program's inception in 1996, total UCONN 2000 General Obligation Debt Service Commitment debt service (which is paid by the state) amounted to \$2,592.8 million, representing \$1,759.2 million of principal and \$833.6 million of interest (including the Refunding Bonds but net of refunded debt).
- Of this amount, debt service of \$1,351.8 million, representing \$835.1 million of principal and \$516.7 million of interest (including capital appreciation bonds) has been paid.
- Future debt service requirements at this time amount to \$1,241.0 million representing \$924.1 million of principal and \$316.9 million of interest (including capital appreciation bonds).

For the fiscal year ending June 30, 2013, the Debt Service Commitment paid for the University's General Obligation Bonds amounted to \$116.8 million (representing \$74.8 million of principal and \$42.0 million of interest).

As of March 31, 2014, the following graph shows UCONN 2000 General Obligation Debt Service Commitment debt service by fiscal year including refunding issues, but net of refunded.



**Special Obligation Student Fee Revenue Bonds**

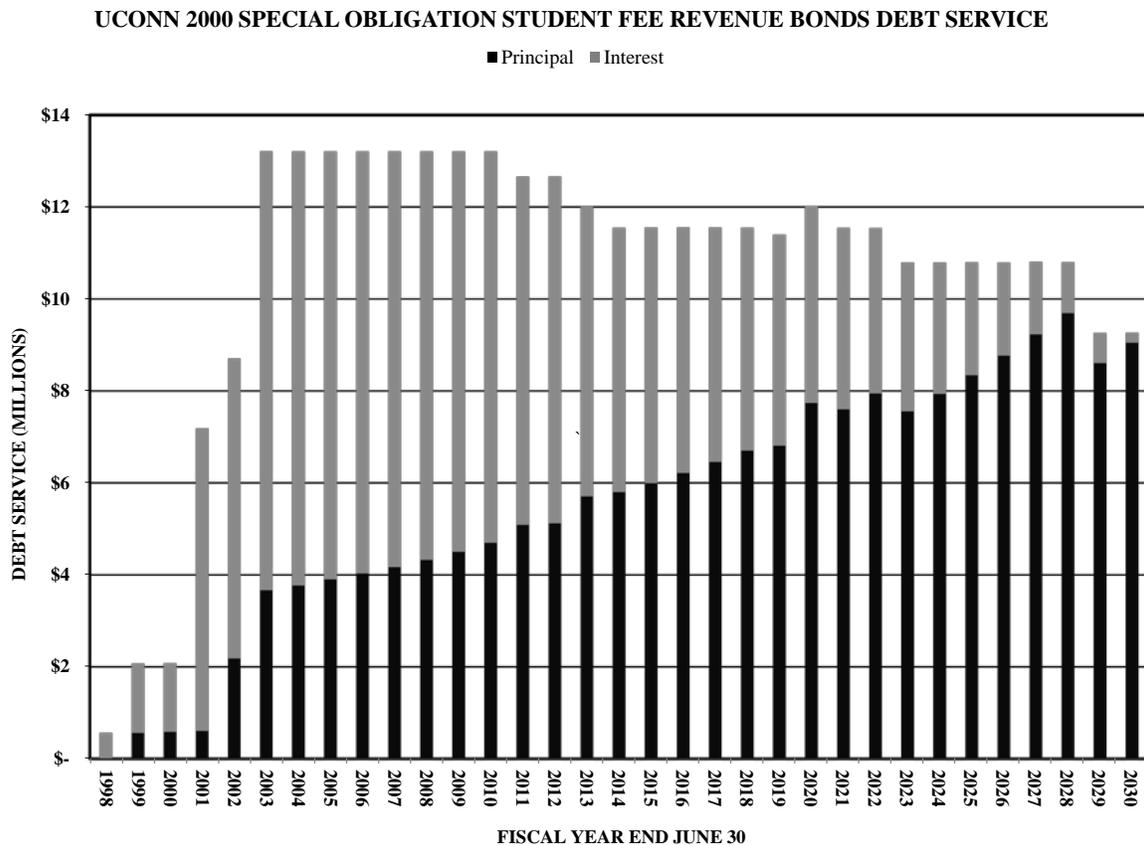
From time to time, the University may issue Special Obligation Bonds secured by certain revenue flows including student fees. The University is responsible for paying the debt service and any arbitrage rebate due on its Special Obligation debt. All other things equal, the Special Obligation bonds incur proportionally more interest expense because they are generally issued for terms of up to approximately thirty years compared to twenty years for the Debt Service Commitment bonds. The longer maturities generally represent the cost of the assets financed by the bonds being spread over the student populations utilizing the assets.

As of March 31, 2014:

- Total UCONN 2000 Special Obligation Student Fee Revenue debt service (which is paid by the University) amounted to \$351.3 million, representing \$183.3 million of principal and \$168.0 million of interest (including the Special Obligation Student Fee Revenue Refunding 2002, 2010 and 2012 Series A Bonds but net of refunded debt service).
- Of this amount, the University had paid debt service of \$172.4 million (representing \$58.7 million of principal and \$113.7 million of interest).
- Debt service remaining totals \$178.9 million comprising \$124.6 million of principal and \$54.3 million of interest (including capital appreciation bonds).

For the fiscal year ending June 30, 2013, the University paid, from its own resources, Special Obligation Bond debt service of \$12.0 million (representing \$5.7 million of principal and \$6.3 million of interest).

As of March 31, 2014, the following graph shows UCONN 2000 Special Obligation Student Fee Revenue debt service by fiscal year including refunding issues, but net of refunded.



**UCONN 2000 Heating Plant Upgrade Project Cogeneration Facility Tax-Exempt Governmental Lease Purchase Agreement Tax-Exempt Governmental Lease Purchase Agreement**

The University is responsible for paying the debt service and any arbitrage rebate for the Tax-Exempt Governmental Lease Purchase Agreements. The two financing tranches provided \$81,900,000 of funding for a cogeneration facility for the UCONN 2000 Heating Plant Upgrade project. Tax-Exempt Governmental Lease Purchase Agreement debt service payments commenced on January 29, 2006.

Originally debt service was to be paid in 240 monthly installments of approximately \$517,135 (which over the life of the financing would have totaled \$124,112,424 comprising \$42,212,424 of interest and \$81,900,000 of principal).

During July 2013, the University achieved gross debt service savings of \$5.2 million lowering the interest rate, effective for the August 29, 2013 monthly payment to maturity, from 4.42% on the original \$75 million loan, and 5.09% on the original \$6.9 million loan, to 3.22% on both. The combined remaining balance of the loans was approximately \$59 million. The amendment produced combined gross nominal debt service savings of \$5,168,392 million or \$3,238,327 (4.2%) on a net present value basis over the remaining 12 years and 5 months of the original financing.

The amended agreement at the lower rate provides for the remaining 149 monthly installments to be lowered to approximately \$482,448, which over the life of the financing, totals to debt service of \$118,944,031 comprising \$ 37,044,031 of interest and \$81,900,000 of principal.

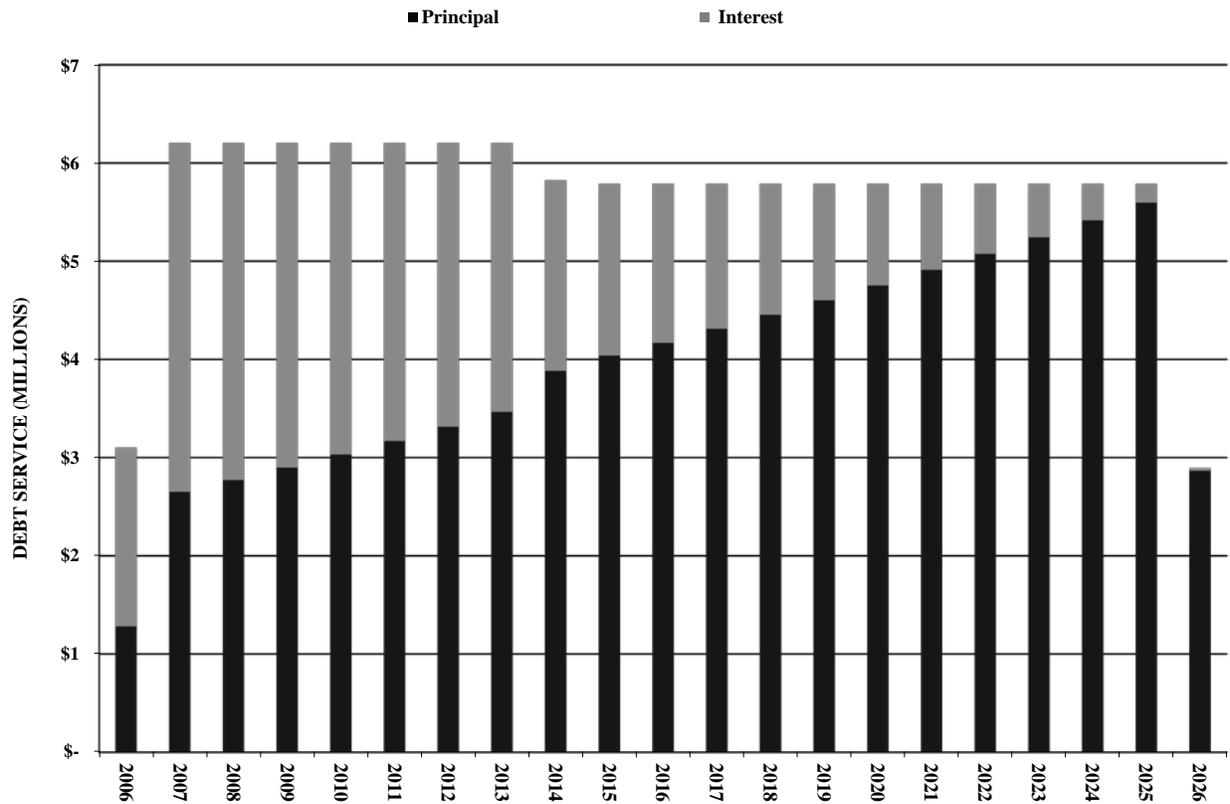
As of March 31, 2014:

- The University had paid down the Tax-Exempt Governmental Lease Purchase Agreement debt service by \$ 50.9 million (representing \$25.5 million of principal and \$ 25.4 million of interest).
- Remaining debt service amounts to \$68.0 million (representing \$56.4 million of principal and \$11.6 million of interest).

For the fiscal year ending June 30, 2013, the University paid from its own resources Tax-Exempt Governmental Lease Purchase Agreement debt service of \$6.2 million (representing \$3.5 million of principal and \$2.7 million of interest).

As of March 31, 2014, the following graph shows the UCONN 2000 Heating Plant Upgrade Tax-Exempt Governmental Lease Purchase Agreement (for the cogeneration plant) debt service by fiscal year.

**UCONN 2000 HEATING PLANT UPGRADE - GOVERNMENTAL LEASE PURCHASE  
AGREEMENT (CO-GENERATION PLANT) DEBT SERVICE**



FISCAL YEAR END JUNE 30

**Investment of Debt Proceeds - Management, Investment and Earnings**

The proceeds of the sale by the University of any bonds are part of the Trust Estate established under the General Obligation Master Indenture of Trust with the Trustee Bank as security for bondholders. Consequently, the University holds all of the bond proceeds at the Trustee Bank, with this exception: the Costs of Issuance account funded by the University’s General Obligation Debt Service Commitment bonds may be held and invested by the State Treasurer’s Office in a segregated account. The Special Obligation Master Indenture has similar Trust Estate provisions. The Trustee Bank holds all of the Special Obligation bond proceeds received at issuance including the Costs of Issuance account.

Prior to June 1998, all UCONN 2000 General Obligation Debt Service Commitment Bond proceeds were deposited with the Office of the State Treasurer and treated like state bond proceeds, including payments made to vendors through the Office of the State Comptroller. Subsequently, the Office of the Attorney General opined that the University, and not the state, issues UCONN 2000 bonds. Accordingly, upon advice of bond counsel and in conformity with the Master Indenture of Trust, Debt Service Commitment Bond construction fund proceeds were deposited to the Trustee Bank and disbursed as directed by the University pursuant to the Indenture. The UCONN 2000 General Obligation Debt Service Commitment Bond proceeds for costs of issuance are still treated like state bond proceeds and deposited with the Office of the State Treasurer and disbursed through the Office of the State Comptroller.

The Indentures of Trust provide that the University is authorized and directed to order each disbursement from the Construction Account held by the Trustee upon a certification filed with the Trustee bank and, in the case of the Debt Service Commitment bonds, the State Treasurer. The Indentures provide that such certification shall be signed by an Authorized Officer of the University and include certain disbursement information. Once the Authorized Officer certification filings are made, the University can directly disburse payments.

The investment of tax-exempt debt proceeds is heavily regulated by the Internal Revenue Service, the relevant Indentures of Trust with bondholders, Connecticut law, and other regulatory restrictions. In addition to meeting those requirements, the University's general investment policy is to balance an appropriate risk-return level, heavily weighted towards safety of assets, with estimated cash flow needs and liquidity requirements. The University is also mindful that the rating agencies, bond buyers, and bond insurers often weigh the quality of an issuer's investment portfolio.

Bond proceeds form part of the Trust Estate established with the Trustee Bank as security for bondholders. To date, the University has directed the Trustee Bank to invest any Debt Service Commitment construction fund proceeds in the State Treasurer's Short Term Investment Fund ("STIF") which is "AAAm" rated and offers daily liquidity and historically attractive risk-adjusted yields. The State Treasurer's Office wishes to hold and invest the University's General Obligation Bonds Debt Service Commitment funded Costs of Issuance account, a much smaller account.

The General Obligation Debt Service Commitment Refunding, 2004, 2006, 2007, 2010, 2011 and 2013 Series A Bond proceeds, other than the costs of issuance, are/were held by the Trustee Bank in an irrevocable escrow fund, which is invested in U.S. Treasury Securities and/or U.S. Treasury State and Local Government Securities ("SLGS") and cash pursuant to the relative Escrow Agreements.

It has been the University's practice to invest all of the Special Obligation new money bond proceeds, including the debt service funds, in dedicated STIF accounts, with the exception of the 1998 Special Obligation Special Capital Reserve Fund which from time to time had also been invested in longer term "AAA" rated federal agencies' fixed income Investment Obligations as defined in the Special Obligation Indenture of Trust.

The Special Obligation Student Fee Revenue Refunding 2010 and 2012 Series A Bond proceeds, other than the costs of issuance and debt service accounts that are invested in STIF, are held by the Trustee Bank in their respective irrevocable Escrow Accounts, which are invested in U.S. Treasury State and Local Government Securities ("SLGS"), and cash pursuant to the Escrow Agreement.

The University's General Obligation Debt Service Commitment bond proceeds investment earnings are retained and recorded by the State Treasurer's Office and do not flow to the University or to the Trustee Bank. The University's Special Obligation bond investment earnings are part of the pledged revenues and are directly retained by the Trustee Bank to pay debt service on the bonds, and may also be used to flow to other Trustee bond accounts, if

necessary, pursuant to the Indenture of Trust. Fiscal year end June 30, 2013, UCONN 2000 Special Obligation Student Fee Revenue Bond funds (not including the refunding escrows) investment earnings amounted to \$1,673.66 (cash basis).

Investment earnings on the Special Obligation Student Fee Revenue 2010 and 2012 Series A Bonds Refunding Escrow Accounts flow to their respective irrevocable escrows and are used by the Trustee Bank to meet debt service payments on the defeased bonds. Similarly, investment earnings on the General Obligation Debt Service Commitment 2004, 2006, 2007, 2010 and 2011 Series A Bonds Refunding Escrow Accounts flow to their respective irrevocable escrows and are used by the Trustee Bank to meet debt service payments on the defeased bonds.

On December 29, 2005, the University received \$15,847,241.65 representing the last advance of the \$81,900,000 of funds to the University under the Tax-Exempt Governmental Lease Purchase Agreement for the Heating Plant Upgrade Cogeneration facility. These funds, and the related investment income, are for uses related to the Cogeneration financing and were deposited in a dedicated STIF account. During December 2006, part of the remaining proceeds, representing the initial December 18, 2003 financing, was yield restricted by investing it in a dedicated Tax Exempt Proceeds Fund. All of the Tax-Exempt Governmental Lease Purchase Agreement proceeds have been spent.

#### **Future UCONN 2000 Debt Issuance**

The University has issued the entire \$962 million of UCONN 2000 Phase I & II authorizations for the General Obligation bonds secured by the State's Debt Service Commitment.

UCONN 2000 Phase III, as amended, provides for an additional \$3,320,900,000 of funding with University General Obligation bonds secured by the State's Debt Service Commitment. As of March 31, 2014, \$1,116,400,000 of Phase III has been authorized by the Board of Trustees and the Office of the Governor, of which \$254,400,000 is authorized but unissued. During April 2014, the University plans to issue new money and refunding UCONN 2000 General Obligation Debt Service Commitment Bonds. From time to time, the University might also issue new money and/or refunding bonds for its General Obligation or Special Obligation bond programs.

At this time there are no Board of Trustee authorizations to issue Special Obligation bonds for UCONN 2000 projects. However, the University has in the past and could again issue Special Obligation securities for certain projects that have a financial self-sufficiency capacity and/or if aggregate pledged revenues are sufficient to meet requirements of the Special Obligation Indenture.

Additionally, while there are no Board of Trustee authorizations at this time, the University could enter into other types of tax-exempt or taxable financings pursuant to the UCONN 2000 Act.

Market conditions and other factors might also lead to the issuance of General Obligation, Special Obligation, or other refunding debt in the future.

### **Credit Ratings**

As of March 31, 2014, the UCONN 2000 General Obligation Debt Service Commitment bonds were rated “AA” by Standard & Poor’s; “Aa3” by Moody’s Investors Service, and “AA-” by Fitch Investors Service. It is a strong vote of confidence in the University that Moody’s and Standard & Poor’s ranks these bonds as high as the State’s General Obligation Bonds.

The UCONN 2000 Special Obligation Bonds were rated “AA-” by Standard & Poor’s and “Aa2” by Moody’s Investors Service. Fitch Investors Service does not have an underlying rating for the University’s Special Obligation bonds.

In addition to the underlying credit ratings, municipal bond insurance from FGIC, MBIA and FSA in the past have secured certain maturities of several of the above bond issues. Originally, the insurance provided “AAA” credit ratings. The bond insurers have experienced a series of downgrades in recent years. At times, this has resulted in the UCONN 2000 bonds underlying credit ratings exceeding the credit ratings for certain bond insurers. As a result, people are advised to check with the credit rating agencies for the most recent bond insurer ratings.

### **Credit Rating History**

Since the inception of UCONN 2000, the University’s bonding program has experienced a favorable credit rating history, including several credit rating upgrades.

The capital markets have recognized the tangible benefits to the state’s economy of meeting the infrastructure and educational goals of the program, as well as the University’s success in implementing them. A high quality credit rating not only provides the state and the University with less expensive access to the capital markets but also supports the state’s quality reputation among investors. A University milestone occurred in 2002 with the achievement of the high-grade “double A” credit-rating category from Moody’s Investors Service for both its General Obligation and Special Obligation bonds.

**February 1996:** the first issue of the University’s General Obligation Bonds secured by the state’s Debt Service Commitment carried underlying ratings of “A1” by Moody’s Investors Service, “AA-” by Standard & Poor’s and “AA-” by Fitch.

**February 1998:** the first issue of UCONN 2000 Special Obligation bonds depended upon the state’s SCRF credit rating. An underlying “stand-alone” credit rating was not available for this nascent program. At the time of issuance, the state SCRF enhancement allowed the bonds to obtain an “AA-” rating from Standard & Poor’s, “AA-” from Fitch Investors Service, and “A1” from Moody’s Investors Service. The bonds were subsequently covered by municipal bond insurance and upgraded to “AAA” at Fitch and Standard & Poor’s and “Aaa” at Moody’s Investors Service.

**October 1998:** Standard & Poor’s upgraded the UCONN 2000 General Obligation DSC Bonds and the UCONN 2000 Student Fee Revenue 1998 Series A Bonds (SCRF) to “AA” from “AA-”.

**March 2000:** Moody’s upgraded UCONN 2000 General Obligation DSC Bonds to “Aa3” from “A1”.

**June 2000:** the University achieved a milestone with its first underlying Special Obligation Bond “stand alone” credit rating of “AA-” from Standard & Poor’s and an “A1” from Moody’s.

**February 2001:** Moody’s upgraded UCONN 2000 General Obligation DSC Bonds to “Aa2” from “Aa3”. In April 2001, the General Obligation DSC 2001 Series A bonds were sold without any bond insurance security enhancement on any maturity, another successful first-time accomplishment for the UCONN 2000 bond program. Moody’s upgraded UConn’s Student Fee Revenue 1998 Series A Bonds, which are secured by the state SCRF, at this time to “Aa3” from “A1”.

**January 2002:** UCONN 2000 Special Obligation Bonds (Non-SCRF) were upgraded to “Aa3” from “A1” by Moody’s. This graduated UConn’s Special Obligation bonds to Moody’s “high-grade” bond category and impacted the underlying credit on all outstanding Special Obligation Student Fee Revenue Bonds. (The \$33.6 million Special Obligation Student Fee Revenue Series 1998 Series A Bonds additionally secured by the state’s SCRF already carried the “Aa3” rating.) This high rating was assigned a stable outlook and represented a positive judgment by the capital markets regarding UConn’s financial strength, real and potential growth as an institution, and management.

**August 2002:** Reflecting the outlook changes for the State’s General Obligation Bonds, Moody’s and Standard and Poor’s both moved their outlook from “stable” to “negative” for UCONN 2000 General Obligation DSC Bonds while retaining their respective credit rating levels at “Aa2” and “AA”. Fitch took no action. In a sign of confidence in the University’s management and growth potential, Moody’s and Standard & Poor’s kept UConn’s Special Obligation Bond ratings levels and stable outlook unchanged.

**March 2003:** During tougher economic times, the rating agencies confirmed the University’s General Obligation DSC bond ratings as follows: Fitch “AA-”; Standard & Poor’s “AA”; and Moody’s “Aa2”. Moody’s also confirmed UConn’s Special Obligation and Foundation bond ratings at “Aa3”. Holding the credit ratings was a good sign in light of Moody’s February 2003 move of the State General Obligation bonds and consequently the University’s DSC and SCRF security bonds to a watch list for possible downgrade.

**July 2003:** On July 2, 2003, citing state budget problems, Moody’s downgraded the University’s General Obligation DSC bond ratings to “Aa3” from “Aa2” consistent with its action on the State General Obligation bond rating. The good news was that Moody’s confirmed UConn’s Special Obligation (non-SCRF) bond ratings at “Aa3”. Moody’s also briefly downgraded the University’s 1998 Special Obligation Bonds secured by the state’s SCRF to “A1” following a general downgrade of any bonds backed by the state’s SCRF but then upgraded the University’s 1998 Special Obligation Bonds back to “Aa3” on July 14, 2003.

**January 2004:** We were successful in confirming the credit ratings for the UCONN 2000 General Obligation Debt Service Commitment bonds at a time of state budget deficits and negative press reports. The unenhanced ratings for the UCONN 2000 GO DSC 2004 new money and refunding bond issues were as follows: Moody’s Investors Service “Aa3”, Standard &

Poor's "AA" and Fitch IBCA "AA-". Several maturities also carried "AAA" rated MBIA bond insurance.

**February 2005:** The unenhanced ratings for the UCONN 2000 GO DSC 2005 new money bond issue were confirmed with Moody's Investors Service "Aa3", Standard & Poor's "AA" and Fitch IBCA "AA-". Several maturities also carried "AAA" rated FSA bond insurance.

**February 2006:** The unenhanced ratings for the UCONN 2000 GO DSC 2006 new money and refunding bond issues were confirmed as follows: Moody's Investors Service "Aa3", Standard & Poor's "AA" and Fitch IBCA "AA-". Several maturities also carried "AAA" rated FGIC bond insurance.

**March 2007:** The unenhanced ratings for the UCONN 2000 GO DSC 2007 new money and refunding bond issues were confirmed as follows: Moody's Investors Service "Aa3", Standard & Poor's "AA" and Fitch IBCA "AA-". The bonds were issued without bond insurance.

**March 2009:** The underlying ratings for the UCONN 2000 GO DSC 2009 new money bond issues that are in the process of being issued were confirmed as follows: Moody's Investors Service "Aa3", Standard & Poor's "AA" and Fitch IBCA "AA-".

**November 2009:** Fitch IBCA retained the "AA-" for UCONN 2000 GO-DSC bonds but placed them on "negative outlook" and did the same for the UCONN 2000 SO-SFR 1998 SCRF bonds (no UConn underlying rating), mirroring the negative outlook they had put on the State of Connecticut's General Obligation Bonds, during October 2009. Through diligent management and active communication UConn's Treasury Services avoided a similar move by other credit rating agencies at this time.

**March 2010:** Standard & Poor's affirmed the "AA-/Stable" unenhanced ratings for UConn's Special Obligation Student Fee Revenue Bonds Series 2002-A bonds, and Special Obligation Student Fee Revenue Refunding Bonds Series 2002-A bonds.

**April 2010:** FITCH recalibrates UCONN 2000 General Obligation and Special Obligation Student Fee Revenue 1998A (SCRF) Bonds to "AA" rating outlook negative, and recalibrates State of Connecticut General Obligation to "AA+" rating outlook negative.

**May 2010:** Moody's recalibrates UConn's Special Obligation Student Fee Revenue Bonds unenhanced ratings to "Aa2" (upgrade from "Aa3"); and Standard & Poor's affirms at "AA-/Stable".

**May 2010:** Moody's recalibrates the unenhanced ratings of UCONN 2000 General Obligation Debt Service Commitment Bonds to "Aa2" (upgrade from "Aa3"); and Standard & Poor's affirms at "AA/Stable"; and FITCH affirms at "AA".

**June 2010:** FITCH moves UCONN 2000 General Obligation and Special Obligation Student Fee Revenue 1998A (SCRF) Bonds back to “AA-” rating outlook stable, and moves State of Connecticut General Obligation back to “AA” rating outlook stable, citing State finances, a month after affirming UConn’s “AA” rating outlook negative.

**June 2011:** S&P affirmed underlying ratings of "AA-" Stable outlook on UCONN 2000 Special Obligation Student Fee Revenue Bonds, (includes 2010 Refunding; 2002 New Money; and 2002 Refunding).

**June 2011:** UCONN 2000 General Obligation Debt Service Commitment Bonds affirmed by Moody's Investors Service at "Aa2" but revised the outlook to negative (following their action on State of Connecticut's General Obligation bond rating) and affirmed UCONN 2000 Special Obligation Student Fee Revenue Bonds at "Aa2" Stable outlook.

**November 2011:** For the UCONN 2000 General Obligation Debt Service Commitment Bonds Moody's Investors Service downgraded the rating to “Aa3” from “Aa2” and revised the outlook to positive (following their same action on State of Connecticut's General Obligation bond rating); Standard & Poor’s affirmed the rating at "AA/Stable”; and FITCH affirmed the rating at “AA-/Stable”.

**December 2012:** Moody’s Investors Service confirms a rating of “Aa2”/Stable outlook and Standard & Poor’s affirmed underlying ratings of "AA-"/Stable outlook for the UCONN 2000 Special Obligation Student Fee Revenue 2010 and 2012 Refunding Bonds.

**July 2013:** For the UCONN 2000 General Obligation Debt Service Commitment Bonds Moody's Investors Service confirmed “Aa3/Stable”; Standard & Poor’s affirmed the rating at "AA/Stable”; and FITCH affirmed the “AA-“ but revised the outlook to negative (following their action on State of Connecticut's General Obligation bond rating).

**March 2014:** For the UCONN 2000 General Obligation Debt Service Commitment Bonds credit ratings Moody's Investors Service affirmed “Aa3/Stable”; Standard & Poor’s affirmed "AA/Stable”; and FITCH affirmed “AA-/Negative”.

### **UCONN 2000 Debt On Audited Financial Statements**

UCONN 2000 financings are debt obligations of the University, as issuer, and are reflected on UConn’s financial statements accordingly. For example, Special Obligation bonds and Tax-Exempt Governmental Lease Purchase Agreement debt are shown as liabilities on the University’s financial statements and the related capital improvements are shown as assets. The University is the issuer and ultimately responsible for the UCONN 2000 General Obligation Bonds which also fund certain assets at the UCONN Health Center, and consequently are also partially reflected on the UCONN Health Center’s financial statements as further discussed below.

Phase III of the Act authorizes the University to fund projects for the Health Center with, among other sources, UCONN 2000 General Obligation Debt Service Commitment Bonds and the University has done so. Accordingly, the UCONN 2000 General Obligation Debt Service Commitment Phase III project proceeds and any unspent debt proceeds are reflected on both UCONN's and UCHC's financial statements. As bonds are issued, the amount of the commitment for the Health Center is recorded as an offset to revenue on UCONN's financial statements in the University's Other Changes in Net Position section of the Statements of Revenues, Expenses, and Changes in Net Position. A corresponding liability is recorded in due to affiliate in UCONN's Statement of Net Position for the unspent portion of the bonds due to the Health Center. Correspondingly the Health Center records that portion of UCONN 2000 bond issuance proceeds for UCHC in the capital appropriation line in UCHC's Statements of Revenues, Expenses, and Changes in Net Position. A corresponding receivable is recorded for the unspent portion of the bonds. The State's debt service commitment for interest on the UCONN 2000 General Obligation bonds is included in Non-Operating Revenues (Expenses) section of the Statements of Revenues, Expenses, and Changes in Net Position.

### **UCONN 2000: Private Financial Support**

For fiscal year 2014 the Foundation has a fundraising goal of \$65 million. As of February 28, 2014 fundraising results totaled \$32.6 million in new gifts and commitments, \$12.8 million designated to endowed accounts and \$19.8 million to restricted. The number of donors was 17,495.

#### **Significant Recent Commitments**

- **United Technologies Corporation** pledged \$7,500,000 to support the UTC Institute for Advanced Systems Engineering
- **Mr. Ronald Roy** has announced a bequest intention in the amount of \$500,000 for the College of Liberal Arts and Sciences
- **The Estate of Carl E. Lundin** provided \$400,000 to support the Law School
- **The Estate of Eleanor S. Bloom** provided \$736,851 to the Eleanor S. Bloom Endowment to support Economic students
- **The Estate of John L. C. Lof** provided \$1,000,000 to the John L. C. Lof fund for Graduate Education in the School of Engineering
- **Dr. Edmond C. Tomastik** has announced a bequest intention in the amount of \$450,000 for the College of Liberal Arts and Sciences
- **Western Piedmont Medal** pledged \$500,000 toward the UConn Basketball Champions Center

## UCONN 2000 IN CYBERSPACE

Information about UCONN 2000 is available on the World Wide Web via the UCONN 2000 home page. The address: [www.uc2000.uconn.edu/](http://www.uc2000.uconn.edu/)

The website contains all previous legislative updates to the Governor and the General Assembly (Progress Reports), the four year Progress Report, the original UCONN 2000 proposal and UCONN 2000 project updates.

The screenshot shows a web browser window displaying the UCONN 2000 Reports website. The browser's address bar shows the URL <http://uc2000.uconn.edu/reports/>. The website header includes the UCONN logo and the text "21<sup>st</sup> Century UConn — UCONN2000". Below the header, there are navigation links for "HOME" and "PROGRESS REPORTS". The main content area is titled "Progress Reports" and features two large report thumbnails. The first thumbnail is labeled "Report #37 October 2013 (PDF)" and the second is labeled "Five-Year Progress Report - 2011 (PDF)". Below these thumbnails, there are links for "Five-Year Progress Report - 2005 (PDF)" and "Four-Year Progress Report - 1999". A note states: "For the complete edition of the reports in printed format, please contact UConn Governmental Relations, ☎ (860) 486-5519." Below this, there is a section titled "Archived Reports" which contains a grid of 12 columns and 5 rows of report links, each labeled with a report number and date (e.g., "Report #36 April 2013 (PDF)", "Report #27 October 2006 (PDF)", etc.). At the bottom of the page, there is a search bar with the text "Type Search Here" and a "FIND" button, along with the UCONN logo and the text "© University of Connecticut. Documents, Privacy & Copyright Comments."

# UCONN 2000 Year 19

## Proposed FY 2014 Authorized Projects

### Storrs & Regional Campuses

| <u>Project Name</u>                                 | <u>Phase III Funding</u> |
|---|--------------------------|
| Academic and Research Facilities                    | \$ 1,000,000             |
| Deferred Maintenance/Code/ADA Renovation Lump Sum   | 13,294,450               |
| Engineering Building                                | 1,500,000                |
| Equipment, Library Collections & Telecommunications | 11,002,682               |
| Farm Buildings Repairs/Replacement                  | 3,000,000                |
| Gant Building Renovations                           | 4,000,000                |
| Hartford Relocation Acquisition/Renovation          | 3,125,318                |
| Heating Plant Upgrade                               | 1,057,000                |
| North Hillside Road Completion                      | 2,700,000                |
| Psychology Building Renovation/Addition             | 3,670,550                |
| Residential Life Facilities                         | 8,000,000                |
| Stamford Campus Improvements/Housing                | 1,000,000                |
| Waterbury Downtown Campus                           | 50,000                   |
| Subtotal of Storrs & Regional Campuses              | \$ 53,400,000            |

### Health Center

| <u>Project Name</u>   | <u>Phase III Funding</u> |
|---|--------------------------|
| CLAC Renovation Biosafety Level 3 Lab                               | \$ 9,710,000             |
| Deferred Maintenance/Code/ADA Renovation Sum - Health Center        | 4,832,000                |
| Equipment, Library Collections & Telecommunications - Health Center | 2,290,000                |
| Main Building Renovation  | 28,009,500               |
| Medical School Academic Building Renovation                         | 25,747,500               |
| Research Tower  | 8,230,000                |
| UCHC New Construction and Renovation                                | 72,181,000               |
| Subtotal of Health Center   | \$ 151,000,000           |

**Grand Total FY 2014** **\$ 204,400,000**

**UCONN 2000 Phase III Project List**  
**State Debt Service Commitment**

| <b>Project</b>  | <b>Amount</b>    |
|---|------------------|
| <b><u>Storrs and Regional Campus Projects</u></b>       |                  |
| Next Generation Connecticut                             | \$ 1,742,500,000 |
| Academic and Research Facilities                        | 2,000,000        |
| Arjona and Monteith (new classroom buildings)           | 107,000,000      |
| Avery Point Campus Undergraduate & Library Building     | 10,585,532       |
| Avery Point Renovation                                  | 1,016,470        |
| Beach Hall Renovations                                  | 4,742,695        |
| Benton State Art Museum (Completion Addition)           | 2,903,509        |
| Biobehavioral Complex Replacement                       | 2,787,975        |
| Bishop Renovation                                       | 2,550,000        |
| Commissary Warehouse                                    | -                |
| Deferred Maintenance/Code/ADA Renovation Lumpsum        | 212,792,375      |
| Engineering Building                                    | 92,500,000       |
| Equipment, Library Collections & Telecommunications     | 88,998,496       |
| Family Studies (DRM) Renovation                         | 2,868,306        |
| Farm Buildings Repairs/Replacement                      | 6,728,342        |
| Fine Arts Phase II                                      | 22,775,000       |
| Floriculture Greenhouse                                 | 6,750,000        |
| Gant Building Renovations                               | 15,250,000       |
| Gentry Completion                                       | 9,628,209        |
| Hartford Relocation Acquisition/Renovation              | 4,125,318        |
| Heating Plant Upgrade                                   | 30,000,000       |
| Incubator Facilities                                    | -                |
| Intramural, Recreational & Intercollegiate Facilities   | 31,009,921       |
| Jorgensen Renovation                                    | 2,084,475        |
| Koons Hall Renovation/Addition                          | 1,080,057        |
| Lakeside Renovation                                     | 3,800,000        |
| Law School Renovations/Improvements                     | 15,768,029       |
| Library Storage Facility                                | -                |
| Manchester Hall Renovation                              | 807,264          |
| Mansfield Training School Improvements                  | 3,000,000        |
| Natural History Museum Completion                       | 500,000          |
| North Hillside Road Completion                          | 8,200,000        |
| Observatory   | -                |
| Old Central Warehouse                                   | 126,000          |
| Parking Garage #3*                                      | 5,000,000        |
| Psychology Building Renovation/Addition                 | 25,170,550       |
| Residential Life Facilities*                            | 49,117,722       |
| School of Pharmacy                                      | 6,000,000        |
| Stamford Campus Improvements                            | 1,500,000        |
| Storrs Hall Addition                                    | 14,987,927       |
| Student Health Services*                                | 5,000,000        |
| Student Union Addition                                  | 13,000,000       |
| Support Facility (Architectural & Engineering Services) | -                |
| Torrey Renovation Completion & Biology Expansion        | 1,500,000        |
| Torrington Campus Improvements                          | 369,156          |
| UCONN Products Store                                    | -                |
| Waterbury Downtown Campus                               | 478,937          |
| West Hartford Campus Renovations/Improvements           | 6,774,305        |
| Young Building Renovation/Addition                      | 19,223,430       |

| <b>Project</b>  | <b>Amount</b>              |
|---|----------------------------|
| <b>Health Center Projects</b>                                     |                            |
| CLAC Renovation Biosafety Level 3 Lab                             | \$ 16,835,000              |
| Deferred Maintenance/Code/ADA Renovation Sum-Health Center        | 49,466,150                 |
| Dental School Renovation  | 5,000,000                  |
| Equipment, Library Collections & Telecommunications-Health Center | 63,595,850                 |
| Library/Student Computer Center Renovation                        | 5,250,000                  |
| Main Building Renovation  | 139,184,500                |
| Medical School Academic Building Renovation                       | 40,697,500                 |
| Parking Garage - Health Center*                                   | 8,400,000                  |
| Planning & Design Costs   | 25,000,000                 |
| Research Tower  | 68,701,000                 |
| Support Building Addition/Renovation                              | 100,000                    |
| UCHC New Construction and Renovation                              | <u>364,070,000</u>         |
| <b>Total - Storrs and Regional Campus Project List</b>            | \$ 2,583,000,000           |
| <b>Total - Health Center Project List</b>                         | <u>786,300,000</u>         |
| <b>TOTAL</b>  | <u>\$ 3,369,300,000</u> ** |

\* Projects are partially/totally financed by Revenue Bonds

\*\*\$3,320,900,000 may be financed with bonds secured by the State's debt service commitment.

## **EXHIBIT C**

### **POLICIES: SELECTION AND ACQUISITION OF PROFESSIONAL SERVICES**

Section 10a-109d (5) of the Connecticut General Statutes authorizes the University to contract with professionals to plan, design and supervise UCONN 2000 projects and other capital projects.

1. The Executive Vice President for Administration and Chief Financial Officer has the responsibility for the administration of these policies. Authority for their implementation may be delegated to appropriate operating departments and/or individuals.
2. A selection committee shall be appointed to select professional services for each project in accordance with university policies and procedures.
3. The selection committee shall develop the rating and evaluation criteria.
4. The contract shall be negotiated in consultation with the University Master Planner and Chief Architect or with the Associate Vice President for Campus Planning Design and Construction for the Health Center.
5. Changes in scope that affect the cost of the project must be approved by the Executive Vice President for Administration and Chief Financial Officer, or as delegated to appropriate operating departments and/or individuals.

## **EXHIBIT D**

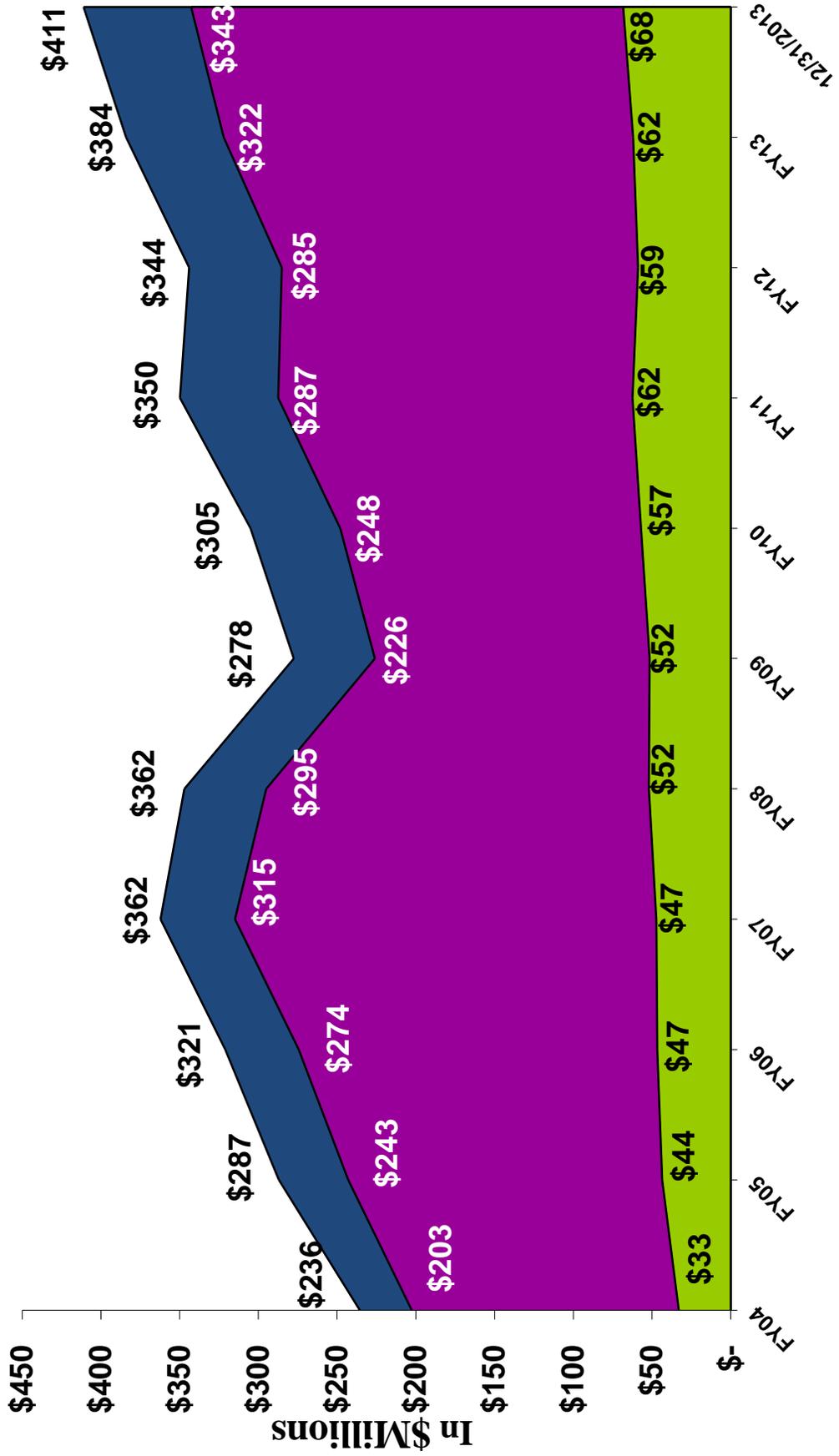
### **POLICIES: PRE-QUALIFICATION AND SELECTION OF CONTRACTORS**

Section 10a-109n (c) (2) of the Connecticut General Statutes authorizes the University to contract with construction firms for the building of UCONN 2000 projects and other capital projects.

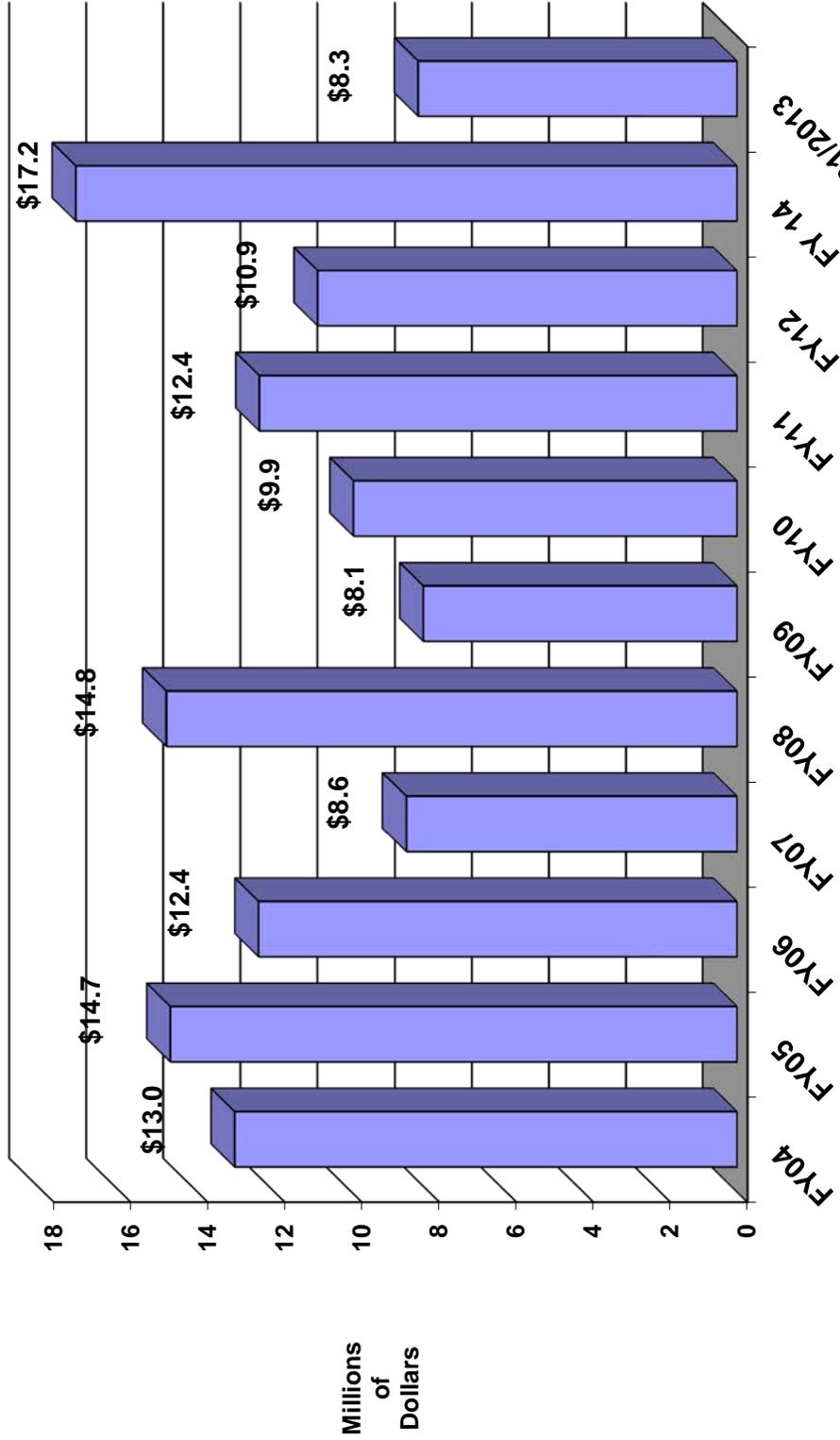
1. The Executive Vice President for Administration and Chief Financial Officer has the responsibility for the administration of these policies. Implementing authority may be delegated to appropriate operating departments.
2. Pre-qualification shall be required of all bidders for projects over \$100,000.
3. Objective written criteria for pre-qualification of firms shall be developed.
4. A list of pre-qualified contractors shall be developed on a project basis. Firms will be evaluated on written criteria, including but not limited to: (1) the firm's previous experience with similar projects, (2) the firm's financial ability to complete the project, (3) the firm's ability to post surety bonds, (4) evaluation of the firm's integrity, (5) a determination that the firm has no conflict of interest in connection with the project, (6) technical ability, (7) managerial ability and (8) whether a contractor or one of their subcontractors on one of their previous projects has been in compliance with the provisions of Part III of Chapter 557 and Chapter 558 during the previous five calendar years.
5. Pre-qualified firms shall be invited to bid upon a specific contract. Contracts shall be awarded to the lowest responsible bidder who conforms to the University's standards for the project.
6. If it is in the best interest of the University and the State of Connecticut, all bids may be rejected and the contracting process reopened.
7. The University may negotiate with any pre-qualified contractor that is currently under contract for incorporation work under exigent circumstance. This new work need not be related to the original contract. The new work shall be of a similar nature as the basic contract.
8. Exigent circumstances include work necessary to:
  - a. Prevent loss of grant income or other revenue
  - b. Produce additional revenue or grant income
  - c. Insure renovations are complete for new faculty and staff members
  - d. Provide for safety and health of the University Community
  - e. Comply with any Federal or State laws or regulations

- f. Insure timely and orderly completion of UCONN 2000 or 21<sup>st</sup> Century UConn Projects.
9. The Executive Vice President for Administration and Chief Financial Officer shall approve use of the exigency provision for an individual job based upon a written recommendation from the University Master Planner and Chief Architect or by the Associate Vice President for Campus Planning Design & Construction as designee for the Health Center
10. The negotiations may be conducted with any contractor or contractors currently performing one of the following types of contracts; lump sum, design build or construction manager at risk.
11. The University Master Planner and Chief Architect or by the Associate Vice President for Campus Planning Design & Construction as designee for the Health Center will prepare a price negotiations memorandum detailing the basis for the award.
12. The Executive Vice President for Administration and Chief Financial Officer shall also stipulate whether the added work shall be incorporated into the contract by change order or be consummated as a new contract.

- Total investments
- Endowed investments
- Non-endowed investments

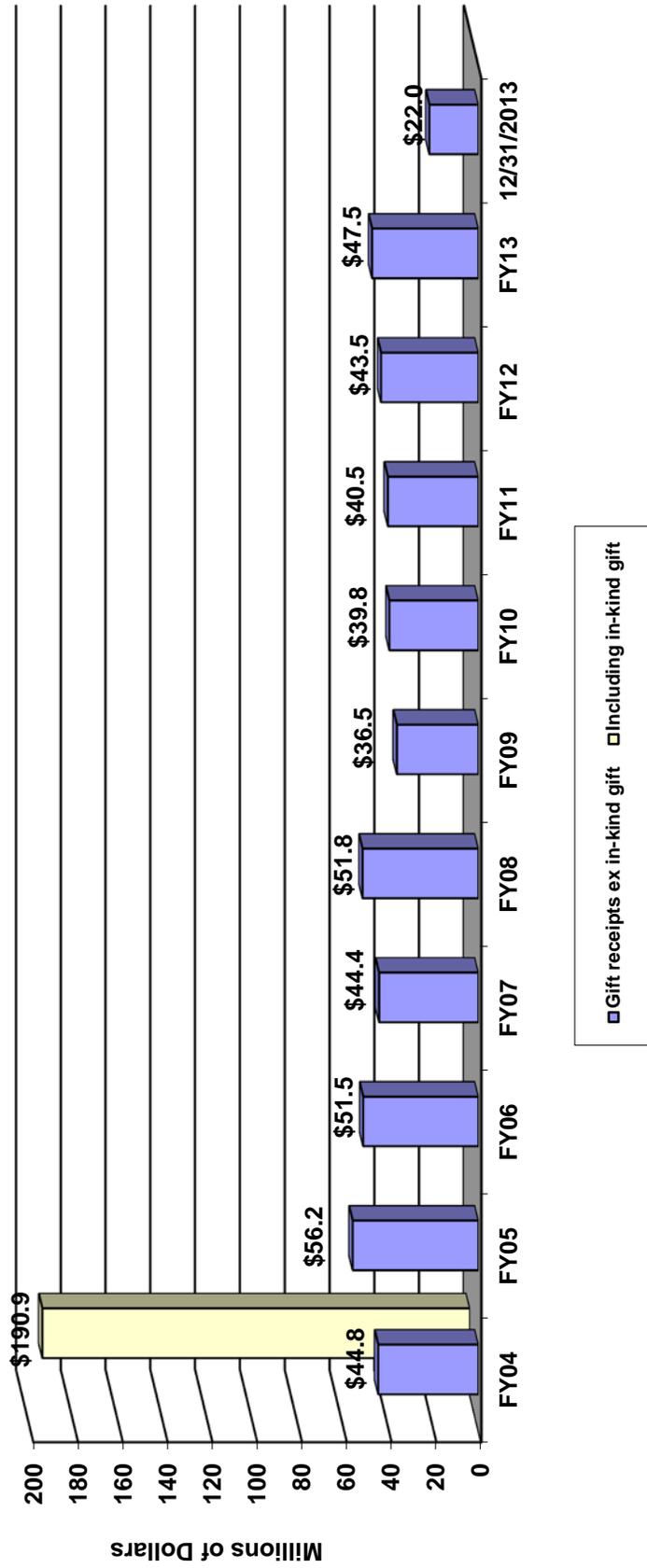


# Total Endowment Gift Receipts for the University of Connecticut



During FY04 a gift in kind of software was received for the benefit of the School of Engineering valued at \$146.1 million.

# Total Gift Receipts for the University of Connecticut



During FY04 a gift in kind of software was received for the benefit of the School of Engineering valued at \$146.1 million.

# PROJECT FUND SOURCES & STATUS: UCONN 2000 - PHASE I

(As of March 31, 2014)

| Project Description  | Total Project Funding*            | UCONN 2000 DSC Bonds | University Revenue Bonds | Other State Bonds   | Federal/Research Funds | Operating Funds     | Private Funds      | Project Status |
|--|-----------------------------------|----------------------|--------------------------|---------------------|------------------------|---------------------|--------------------|----------------|
| Agriculture Biotechnology Facility                                   | \$13,341,445                      | \$9,400,000          |                          | \$2,457,550         | \$1,483,895            |                     |                    | Complete       |
| Avery Point Marine Science Research Center: Phase I                  | \$35,531,445                      | \$30,000,000         |                          | \$5,318,000         |                        | \$213,445           |                    | Complete       |
| Babbidge Library   | Project not funded in UCONN 2000. |                      |                          |                     |                        |                     |                    |                |
| Benton State Art Museum Addition                                     | \$2,322,839                       | \$700,000            |                          |                     |                        |                     |                    | Complete       |
| Chemistry Building   | \$56,862,291                      | \$53,062,000         |                          | \$3,691,144         |                        | \$30,313            | \$1,592,526        | Complete       |
| Deferred Maintenance & Renovation Lumpsum <sup>A</sup>               | \$40,798,260                      | \$40,798,260         |                          |                     |                        | \$109,147           |                    | Complete       |
| Equipment, Library Collections & Telecommunications <sup>A</sup>     | \$60,500,000                      | \$60,500,000         |                          |                     |                        |                     |                    | Complete       |
| Gant Plaza Deck  | \$5,458,000                       | \$3,516,000          |                          | \$1,942,000         |                        |                     |                    | Complete       |
| Hartford Relocation Feasibility Study                                | Project not funded in UCONN 2000. |                      |                          |                     |                        |                     |                    |                |
| Heating Plant Upgrade  | \$11,157,272                      | \$9,969,000          |                          | \$700,000           |                        | \$488,272           |                    | Complete       |
| Ice Rink Enclosure   | \$4,044,001                       | \$3,280,000          |                          | \$222,407           |                        | \$541,594           |                    | Complete       |
| Litchfield Agricultural Center: Phase I                              | \$1,515,682                       | \$1,000,000          |                          |                     |                        | \$515,682           |                    | Complete       |
| Mansfield Apartments Renovation                                      | \$2,784,899                       | \$2,071,000          |                          | \$713,899           |                        |                     |                    | Complete       |
| North Superblock Site & Utilities                                    | \$7,668,000                       | \$7,668,000          |                          |                     |                        |                     |                    | Complete       |
| Northwest Quadrant Renovation: Phase I                               | \$2,001,000                       | \$2,001,000          |                          |                     |                        |                     |                    | Complete       |
| Parking Garage - North   | \$9,658,000                       | \$9,658,000          |                          |                     |                        |                     |                    | Complete       |
| Pedestrian Spinepath & Walkways (aka Fairfield Road Pedestrian Mall) | \$6,074,000                       | \$6,074,000          |                          |                     |                        |                     |                    | Complete       |
| School of Business   | \$21,059,000                      | \$19,559,000         |                          |                     |                        |                     | \$1,500,000        | Complete       |
| School of Pharmacy   | \$3,956,000                       | \$3,856,000          |                          | \$100,000           |                        |                     |                    | Complete       |
| South Campus Complex   | \$48,339,432                      | \$12,251,000         | \$30,000,000             | \$2,621,219         |                        | \$3,467,213         |                    | Complete       |
| Stamford Downtown Relocation: Phase I                                | \$72,374,188                      | \$55,781,472         |                          | \$14,000,000        |                        | \$2,592,716         |                    | Complete       |
| Technology Quadrant: Phase IA  | \$68,758,146                      | \$37,903,000         |                          | \$2,199,900         |                        | \$28,655,246        |                    | Complete       |
| Underground Steam & Water Upgrade: Phase I                           | \$6,000,000                       | \$6,000,000          |                          |                     |                        |                     |                    | Complete       |
| University Programs Building (aka Visitor's Center)                  | \$1,556,471                       |                      |                          |                     |                        |                     | \$6,471            | Complete       |
| Waring Building Conversion   | \$916,000                         | \$916,000            |                          |                     |                        |                     |                    | Complete       |
| Waterbury Property Purchase  | \$200,000                         | \$200,000            |                          |                     |                        |                     |                    | Complete       |
| White Building Renovation  | \$2,641,008                       | \$2,427,269          |                          | \$213,739           |                        |                     |                    | Complete       |
| Wilbur Cross Building Renovation                                     | \$3,409,000                       | \$3,409,000          |                          |                     |                        |                     |                    | Complete       |
| <b>Totals</b>  | <b>\$488,926,378</b>              | <b>\$382,000,000</b> | <b>\$30,000,000</b>      | <b>\$34,179,858</b> | <b>\$1,483,895</b>     | <b>\$36,620,099</b> | <b>\$4,642,526</b> |                |

\* Reflects project funding received as of March 31, 2014.

<sup>A</sup> In some cases, certain projects have been supplemented with other fund sources.

# PROJECT FUND SOURCES & STATUS: UCONN 2000 - PHASE II

(As of March 31, 2014)

| Project Description  | Total Project Funding* | UCONN 2000 DSC Bonds | University Revenue Bonds | Other State Bonds | Federal/Research Funds | Operating Funds | Private Funds | Project Status |
|--|------------------------|----------------------|--------------------------|-------------------|------------------------|-----------------|---------------|----------------|
| Agricultural Biotechnology Facility Completion                         | \$13,707,876           | \$3,000,000          |                          |                   | \$8,676,360            | \$2,031,516     |               | Complete       |
| Alumni Quadrant Renovations  | \$18,183,624           | \$11,183,624         | \$7,000,000              |                   |                        |                 |               | Complete       |
| Avery Point Marine Science Research Center: Phase II                   | \$7,254,246            | \$7,254,246          |                          |                   |                        |                 |               | Complete       |
| Avery Point Renovation   | \$4,875,717            | \$4,875,717          |                          |                   |                        |                 |               | Complete       |
| Business School Renovation: Phase II                                   | \$10,907,241           | \$7,958,470          |                          |                   |                        | \$270,457       | \$2,678,314   | Complete       |
| Central Warehouse New  | \$10,848,752           | \$6,933,752          |                          | \$3,915,000       |                        |                 |               | Complete       |
| Deferred Maintenance & Renovation Lumpsum <sup>A</sup>                 | \$117,386,097          | \$117,386,097        |                          |                   |                        |                 |               | Complete       |
| East Campus North Renovations  | \$8,382,605            | \$7,382,605          | \$1,000,000              |                   |                        |                 |               | Complete       |
| Equipment, Library Collections & Telecommunications <sup>A</sup>       | \$105,812,000          | \$105,812,000        |                          |                   |                        |                 |               | Complete       |
| Gant Plaza Deck  | \$3,771,174            | \$3,771,174          |                          |                   |                        |                 |               | Complete       |
| Gentry Renovation  | \$10,446,418           | \$9,664,597          |                          |                   |                        | \$723,921       | \$57,900      | Complete       |
| Grad Dorm Renovations  | \$2,928,228            | \$2,928,228          |                          |                   |                        |                 |               | Complete       |
| Hilltop Dormitory New  | \$21,009,042           |                      | \$21,000,000             |                   |                        | \$9,042         |               | Complete       |
| Hilltop Dorm Renovations   | \$8,456,164            | \$8,176,529          |                          |                   |                        | \$279,635       |               | Complete       |
| Hilltop Student Rental Apartments                                      | \$42,000,000           |                      | \$42,000,000             |                   |                        |                 |               | Complete       |
| International House Conversion (aka Museum of Natural History)         | \$886,134              | \$886,134            |                          |                   |                        |                 |               | Complete       |
| Mansfield Training School Improvements: Phase II                       | \$3,500,000            | \$3,500,000          |                          |                   |                        |                 |               | Complete       |
| Monteith Renovation  | \$444,348              | \$444,348            |                          |                   |                        |                 |               | Complete       |
| Music Drama Addition   | \$16,784,314           | \$7,400,000          |                          | \$9,311,618       |                        | \$72,696        |               | Complete       |
| North Campus Renovation  | \$12,937,389           | \$10,996,050         |                          |                   |                        | \$1,941,339     |               | Complete       |
| North Campus Renovation (including North Campus Student Suites & Apts) | \$47,150,508           |                      | \$45,000,000             |                   |                        | \$2,150,508     |               | Complete       |
| Northwest Quadrant Renovation: Phase II                                | \$30,123,843           | \$30,000,000         |                          |                   |                        | \$123,843       |               | Complete       |
| Parking Garage - South   | \$27,942,928           |                      | \$24,000,000             |                   |                        | \$3,942,928     |               | Complete       |
| School of Business   | \$6,762,725            | \$6,093,366          |                          |                   |                        | \$218,463       | \$450,896     | Complete       |
| School of Pharmacy   | \$84,753,000           | \$84,753,000         |                          |                   |                        |                 |               | Complete       |
| Shippee/Buckley Renovations  | \$11,920,000           | \$6,920,000          | \$5,000,000              |                   |                        |                 |               | Complete       |
| Student Union Addition: Phase II                                       | \$57,141,753           | \$44,622,633         |                          |                   |                        | \$12,519,120    |               | Complete       |
| Technology Quadrant: Phase IA  | \$2,090,000            | \$2,090,000          |                          |                   |                        |                 |               | Complete       |
| Technology Quadrant: Phase II  | \$34,434,179           | \$34,120,000         |                          |                   |                        | \$314,179       |               | Complete       |
| Torrey Life Science Renovation & Completion                            | \$251,109              | \$251,109            |                          |                   |                        |                 |               | Complete       |
| Towers Renovation  | \$27,378,195           | \$17,950,243         | \$2,180,000              |                   |                        | \$7,247,952     |               | Complete       |

# PROJECT FUND SOURCES & STATUS: UCONN 2000 - PHASE II

(As of March 31, 2014)

| <i>Project Description</i>                                 | <i>Total Project Funding*</i> | <i>UCONN 2000 DSC Bonds</i> | <i>University Revenue Bonds</i> | <i>Other State Bonds</i> | <i>Federal/Research Funds</i> | <i>Operating Funds</i> | <i>Private Funds</i> | <i>Project Status</i> |
|--|-------------------------------|-----------------------------|---------------------------------|--------------------------|-------------------------------|------------------------|----------------------|-----------------------|
| Towers Renovation (Including Greek Housing)                | \$12,704,501                  | \$0                         | \$12,000,000                    |                          |                               | \$704,501              |                      | Complete              |
| Underground Steam and Water Upgrade & Completion: Phase II | \$6,000,000                   | \$6,000,000                 |                                 |                          |                               |                        |                      | Complete              |
| Waiting Building Conversion                                | \$10,536,000                  | \$10,536,000                |                                 |                          |                               |                        |                      | Complete              |
| Waterbury Campus Relocation                                | \$27,238,315                  |                             |                                 | \$22,000,000             |                               | \$1,540,999            | \$3,697,316          | Complete              |
| West Campus Renovations                                    | \$519,507                     | \$519,507                   |                                 |                          |                               |                        |                      | Complete              |
| Wilbur Cross Renovation                                    | \$16,596,296                  | \$16,590,571                |                                 |                          |                               | \$5,725                |                      | Complete              |
| <b>Totals</b>  | <b>\$824,064,228</b>          | <b>\$580,000,000</b>        | <b>\$159,180,000</b>            | <b>\$35,226,618</b>      | <b>\$8,676,360</b>            | <b>\$34,096,824</b>    | <b>\$6,884,426</b>   |                       |

\* Reflects project funding received as of March 31, 2014.

<sup>A</sup> In some cases, certain projects have been supplemented with other fund sources.

# PROJECT FUND SOURCES & STATUS: UCONN 2000 - PHASE III

(As of March 31, 2014)

| Project Description   | Total Project Funding* | UCONN 2000 DSC Bonds | University Revenue Bonds | Other State Bonds | Federal/Research Funds | Operating Funds | Private Funds | Project Status  |
|---|------------------------|----------------------|--------------------------|-------------------|------------------------|-----------------|---------------|---|
| Academic and Research Facilities  | \$1,000,000            | \$1,000,000          |                          |                   |                        |                 |               | Planning  |
| Arjona & Monteith (new classroom buildings)   | \$107,000,000          | \$107,000,000        |                          |                   |                        |                 |               | new buildings & Arjona Renovation Complete; Planning Monteith Renovation Complete |
| Avery Point Campus Undergraduate & Library Building                                   | \$10,585,532           | \$10,585,532         |                          |                   |                        |                 |               | Complete  |
| Avery Point Renovation  | \$2,293,631            | \$1,016,470          |                          |                   | \$317,584              | \$440,867       | \$518,710     | Phase I Complete; Phase II Planning   |
| Beach Hall Renovations  | \$4,742,695            | \$4,742,695          |                          |                   |                        |                 |               | Construction  |
| Benton State Art Museum Addition  | \$2,903,509            | \$2,903,509          |                          |                   |                        |                 |               | Complete  |
| Biobehavioral Complex Replacement   | \$2,787,975            | \$2,787,975          |                          |                   |                        |                 |               | Complete  |
| Bishop Renovation   | \$2,600,000            | \$2,550,000          |                          |                   |                        | \$50,000        |               | Construction  |
| CLAC Renovation Biosafety Level 3 Lab - Health Center                                 | \$11,025,000           | \$11,025,000         |                          |                   |                        |                 |               | Construction  |
| Deferred Maintenance/Code /ADA Renovation Lumpsum - Storrs & Regionals <sup>A</sup>   | \$184,698,525          | \$184,698,525        |                          |                   |                        |                 |               | Underway  |
| Deferred Maintenance/Code /ADA Renovation Lumpsum-Health Center <sup>A</sup>          | \$39,337,125           | \$39,337,125         |                          |                   |                        |                 |               | Underway  |
| Dental School Renovation-Health Center  | \$5,000,000            | \$5,000,000          |                          |                   |                        |                 |               | Complete  |
| Engineering Building  | \$7,000,000            | \$7,000,000          |                          |                   |                        |                 |               | Design  |
| Equipment, Library Collections & Telecommunications - Storrs & Regionals <sup>A</sup> | \$79,141,496           | \$79,141,496         |                          |                   |                        |                 |               | Underway  |
| Equipment, Library Collections & Telecommunications-Health Center <sup>A</sup>        | \$56,295,725           | \$56,295,725         |                          |                   |                        |                 |               | Underway  |
| Family Studies (DRM) Renovation   | \$2,868,306            | \$2,868,306          |                          |                   |                        |                 |               | Complete  |
| Farm Building Repairs/Replacement   | \$6,788,342            | \$6,728,342          |                          |                   |                        | \$60,000        |               | Phase I Complete; Phase II Planning   |
| Fine Arts Phase II  | \$4,575,000            | \$4,575,000          |                          |                   |                        |                 |               | Planning  |
| Floriculture Greenhouse   | \$6,832,300            | \$6,750,000          |                          |                   |                        | \$82,300        |               | Complete  |
| Gant Building Renovations   | \$15,250,000           | \$15,250,000         |                          |                   |                        |                 |               | Planning/Construction   |
| Gentry Completion   | \$9,628,209            | \$9,628,209          |                          |                   |                        |                 |               | Complete  |
| Hartford Relocation   | \$10,000,000           | \$3,125,318          |                          |                   |                        |                 |               | Planning  |
| Heating Plant Upgrade (aka Cogeneration Chiller Facility) <sup>B</sup>                | \$84,775,000           | \$2,875,000          | \$81,900,000             |                   |                        |                 |               | Design/Construction   |
| Intramural, Recreational & Intercollegiate Facilities                                 | \$48,401,958           | \$31,009,921         |                          |                   |                        | \$4,051,806     | \$13,340,231  | Complete  |
| Jorgensen Renovation  | \$2,084,475            | \$2,084,475          |                          |                   |                        |                 |               | Complete  |

# PROJECT FUND SOURCES & STATUS: UCONN 2000 - PHASE III

(As of March 31, 2014)

| Project Description                                       | Total Project Funding* | UCONN 2000 DSC Bonds   | University Revenue Bonds | Other State Bonds   | Federal/Research Funds | Operating Funds     | Private Funds       | Project Status                      |
|---|------------------------|------------------------|--------------------------|---------------------|------------------------|---------------------|---------------------|-------------------------------------|
| Koons Hall Renovation/Addition                            | \$1,080,057            | \$1,080,057            |                          |                     |                        |                     |                     | Complete                            |
| Lakeside Renovation                                       | \$5,235,200            | \$3,800,000            |                          |                     |                        | \$1,435,200         |                     | Complete                            |
| Law School Renovations/Improvements                       | \$23,850,804           | \$15,768,029           |                          | \$8,000,000         |                        | \$82,775            |                     | Complete                            |
| Library/Student Computer Center Renovation-Health Center  | \$1,550,451            | \$1,400,000            |                          |                     |                        |                     | \$150,451           | Complete                            |
| Main Building Renovation-Health Center                    | \$76,812,804           | \$76,597,500           |                          |                     |                        |                     | \$215,304           | Construction                        |
| Manchester Hall Renovation                                | \$807,264              | \$807,264              |                          |                     |                        |                     |                     | Complete                            |
| Mansfield Training School Improvements                    | \$3,000,000            | \$3,000,000            |                          |                     |                        |                     |                     | Planning                            |
| Medical School Academic Building Renovation-Health Center | \$34,447,500           | \$34,447,500           |                          |                     |                        |                     |                     | Planning                            |
| Natural History Museum Completion                         | \$999,669              | \$500,000              |                          |                     |                        |                     | \$499,669           | Complete                            |
| North Hillside Road Completion                            | \$16,946,278           | \$6,700,000            |                          | \$4,420,565         | \$5,825,713            |                     |                     | Construction                        |
| Old Central Warehouse                                     | \$126,000              | \$126,000              |                          |                     |                        |                     |                     | Withdrawn                           |
| Planning & Design Costs (UCHC)                            | \$25,000,000           | \$25,000,000           |                          |                     |                        |                     |                     | Planning/Design                     |
| Psychology Building Renovation/Addition                   | \$25,170,550           | \$25,170,550           |                          |                     |                        |                     |                     | Complete                            |
| Research Tower-Health Center                              | \$68,701,000           | \$68,701,000           |                          |                     |                        |                     |                     | Phase I Complete; Phase II Design   |
| Residential Life Facilities                               | \$23,056,310           | \$18,117,722           |                          |                     |                        | \$4,938,588         |                     | Design/Construction                 |
| School of Pharmacy/Biology Completion                     | \$6,000,000            | \$6,000,000            |                          |                     |                        |                     |                     | Complete-except for Art             |
| Stamford Campus Improvements                              | \$1,518,676            | \$1,500,000            |                          |                     |                        | \$18,676            |                     | Planning                            |
| Storrs Hall Addition                                      | \$15,437,927           | \$14,987,927           |                          |                     |                        | \$450,000           |                     | Complete                            |
| Student Union Addition                                    | \$13,000,000           | \$13,000,000           |                          |                     |                        |                     |                     | Complete                            |
| Support Building Addition/Renovation-Health Center        | \$100,000              | \$100,000              |                          |                     |                        |                     |                     | Withdrawn                           |
| Technology Quadrant: Phase III                            | \$33,579,435           |                        |                          | \$33,579,435        |                        |                     |                     | Planning                            |
| Torrey Renovation Completion & Biology Expansion          | \$1,500,000            | \$1,500,000            |                          |                     |                        |                     |                     | Planning                            |
| Torrington Campus Improvements                            | \$369,156              | \$369,156              |                          |                     |                        |                     |                     | Complete                            |
| Waterbury Downtown Campus                                 | \$978,937              | \$478,937              |                          |                     |                        |                     | \$500,000           | Phase I Complete; Phase II Planning |
| West Hartford Campus Renovations/Improvements             | \$7,124,305            | \$6,774,305            |                          |                     |                        | \$350,000           |                     | Complete                            |
| Young Building Renovation/Addition                        | \$19,773,430           | \$19,223,430           |                          |                     |                        | \$350,000           | \$200,000           | Phase I Complete; Phase II Design   |
| UCHC New Construction and Renovation                      | \$196,272,000          | \$171,272,000          |                          |                     |                        | \$25,000,000        |                     | Construction                        |
| <b>Totals</b>   | <b>\$1,310,052,557</b> | <b>\$1,116,400,000</b> | <b>\$81,900,000</b>      | <b>\$46,000,000</b> | <b>\$6,143,297</b>     | <b>\$37,310,212</b> | <b>\$15,424,365</b> |                                     |

\* Reflects project funding received as of March 31, 2014.

## PROJECT FUND SOURCES & STATUS: UCONN 2000 - PHASE III

(As of March 31, 2014)

| <i>Project Description</i> | <i>Total Project Funding*</i> | <i>UCONN 2000 DSC Bonds</i> | <i>University Revenue Bonds</i> | <i>Other State Bonds</i> | <i>Federal/Research Funds</i> | <i>Operating Funds</i> | <i>Private Funds</i> | <i>Project Status</i> |
|----------------------------|-------------------------------|-----------------------------|---------------------------------|--------------------------|-------------------------------|------------------------|----------------------|-----------------------|
|                            |                               |                             |                                 |                          |                               |                        |                      |                       |

<sup>A</sup> In some cases, certain projects have been supplemented with other fund sources.

<sup>B</sup> Funded through a Tax Exempt Lease.

## PREVAILING WAGE COMPLIANCE REPORT

The attachments referred to below cover the reporting period from October 1 through March 31, 2014 and are issued pursuant to the requirements of subsection (f) of section (7) of Public Act 02-3, an Act Concerning 21<sup>st</sup> Century UCONN, by providing the following information:

(1) The names and addresses of contractors and subcontractors performing repair, addition, alteration and new construction on the university's campuses in the previous six months.

*Attachment A provides the listing in alphabetical order. This is being filed in conjunction with the April 2014 Report Number Thirty-eight to provide a consolidated report for UCONN 2000 activities. There is no sub-grouping of contractors or subcontractors as the nature of their business makes each interchangeable with the other as business opportunities become available.*

*Attachment B is based on information from the State of Connecticut Department of Labor, Wage and Workplace Standards Division, Contract Compliance Unit and represents a combined sharing of information by the University of Connecticut and the Department of Labor.*

*Attachment C is the Debarment list issued by the Department of Labor*

| COMPANY NAME                                     | ADDRESS 1                  | ADDRESS 2       | CITY             | STATE | ZIP CODE |
|--|----------------------------|-----------------|------------------|-------|----------|
| A Secondino & Son, Inc                           | 21 Acorn Road              |                 | Branford         | CT    | 06405    |
| A&G Contracting, Inc                             | 260 Commerce Street        | P.O. Box 120013 | East Haven       | CT    | 06512    |
| A&J Caulking Company, Inc                        | 222 Main Street, Suite 121 |                 | Farmington       | CT    | 06032    |
| A.M. Crane Service LLC                           | 27 Pleasant Street         |                 | Manchester       | CT    | 06040    |
| AAA Pavement Marking LLC                         |                            | P.O. Box 826    | Somers           | CT    | 06071    |
| AAIS Corp  | 290 Roberts Strre          |                 | West Haven       | CT    | 06516    |
| AAIS Corporation                                 | 802 Boston Post Road       | P.O. Box 260066 | West Haven       | CT    | 06516    |
| ABC Sign Corporation                             | 125 Front Street           |                 | Bridgeport       | CT    | 06606    |
| Able Builders Corporation                        | 33 Pine Street             |                 | Columbia         | CT    | 06237    |
| Accurate Door & Window                           | 156 Middle Road            |                 | Preston          | CT    | 06365    |
| Acoustical Thermal Insulators                    | 101 Old Bedford Road       |                 | Westport         | MA    | 02790    |
| Acoustics, Inc                                   | 58 Alna Lane               |                 | East Hartford    | CT    | 06108    |
| ACP Plus LLC                                     | 188 Bailey Road            |                 | Middletown       | CT    | 06457    |
| Action Air Systems, Inc                          | 131 Adams Street           |                 | Manchester       | CT    | 06040    |
| Action Steel LLC                                 | 34 Oconnell Road Extension |                 | Colchester       | CT    | 06415    |
| ACV Concrete LLC                                 | 46 Marina Court            | P.O. Box 1842   | Meriden          | CT    | 06451    |
| Addison Electric                                 | 960 Migeon Avenue          |                 | Torrington       | CT    | 06790    |
| Advanced Caulking & Restoration LLC              | 75 Elliott Street East     |                 | Hartford         | CT    | 06114    |
| Advanced Performance Glass, Inc                  | 26 Schweir Road            |                 | South Windsor    | CT    | 06074    |
| Advantage Cleaning LLC                           | 15 Lunar Drive             |                 | Woodbridge       | CT    | 06525    |
| AeroClean  | 30 Nutmeg Drive            |                 | Trumbull         | CT    | 06611    |
| Air Balancing Service Co.                        | 2 Chapman Lane             |                 | North Haven      | CT    | 06473    |
| Alejandro Hernandez (dba Hernandez Construction) | 97 Poplar Street           |                 | Bridgeport       | CT    | 06605    |
| All Phase Restoration LLC                        | 204 Leigh Road             |                 | Cumberland       | RI    | 02864    |
| All Phase Steel Works LLC                        | 1700 Stratford Avenue      |                 | Stratford        | CT    | 06615    |
| All State Construction, Inc                      | 449 Cooke Street           | P.O. Box 805    | Farmington       | CT    | 06032    |
| All Types Installation (Alessandro Computaro)    | 38 Edgemere Road           |                 | East Haven       | CT    | 06512    |
| Allied Restoration Corporation                   | 162 Governor Street        | P.O. Box 280835 | East Hartford    | CT    | 06128    |
| All-Phase Enterprises, Inc                       | 191 West Stafford Road     | P.O. Box 6      | Stafford Springs | CT    | 06076    |
| Almatt LLC                                       |                            | P.O. Box 120295 | East Haven       | CT    | 06512    |
| AMA Environmental LLC                            | 27 Muddy Brook Road        |                 | Ellington        | CT    | 06029    |
| American Pride Insulation Company, Inc           | 120 Manton Avenue          |                 | Providence       | RI    | 02909    |
| Architectural Sheet Metal                        | 13 Pleasant Street         |                 | Manchester       | CT    | 06040    |
| Armani Restoration, Inc                          | 191 Franklin Avenue        |                 | Hartford         | CT    | 06114    |
| Array Systems LLC                                | 205 Research Drive, Unit 4 |                 | Milford          | CT    | 06460    |
| Artisan Plaster & Restoration LLC                | 17 Konstin Place, Floor 2  |                 | New Britain      | CT    | 06051    |
| Asmus Electric, Inc                              | 297 Front Avenue           |                 | West Haven       | CT    | 06516    |

|   |                                  |               |               |    |       |
|---|----------------------------------|---------------|---------------|----|-------|
| Astley Reid Painting                    | 14 Brookdale Avenue              |               | Bloomfield    | CT | 06002 |
| ATC Associates                          | 7 Corporate Drive                |               | East Hartford | CT | 06108 |
| B McCarthy Construction LLC             | 303 Mohegan Park Road #38        |               | Norwich       | CT | 06360 |
| B&L Construction, Inc                   | 756 Middlesex Turnpike           |               | Old Saybrook  | CT | 06475 |
| Banton Construction Company             | 339 Washington Avenue            |               | North Haven   | CT | 06473 |
| Barall & Konover                        |                                  |               |               |    |       |
| Barber Firestop Systems LLC             | 175 Englewood Drive              |               | Orange        | CT | 06477 |
| Barco/American Wood Products            | 301 State Street                 |               | North Haven   | CT | 06473 |
| Bay State Building Specialties, Inc     | 144 Lundquist Drive              |               | Braintree     | MA | 02184 |
| Bear Steel Erectors, Inc                |                                  | P.O. Box 161  | East Lyme     | CT | 06333 |
| Beaulieu Company LLC                    |                                  | P.O. Box 5061 | Manchester    | CT | 06045 |
| Berkshire Concrete Cutting              | 3595 Winsted Road                |               | Torrington    | CT | 06790 |
| B-G Mechanical Contractors, Inc         | 6 Second Avenue                  |               | Chicopee      | MA | 01020 |
| Bill Bender Painting & Wallcovering LLC | 400 Jerusalem Road               |               | Windham       | CT | 06280 |
| Bird Electrical Services LLC            | 7 Nutmeg Drive, Unit #B11        | P.O. Box 732  | Ellington     | CT | 06029 |
| Bonner Electric, Inc                    | 1865 Norwich-New London Turnpike | P.O. Box 366  | Uncasville    | CT | 06382 |
| Bretts Core Drilling                    | 66 Sherman Road                  |               | Enfield       | CT | 06082 |
| BT Tile & Carpet                        | 905 Norwich New London Turnpike  |               | Uncasville    | CT | 06382 |
| Builders Hardware                       |                                  |               |               |    |       |
| BW Dexter II, Inc                       | 562 Westcott Road                |               | Danielson     | CT | 06239 |
| C&C Mechanical Insulation LLC           | 300 Front Avenue                 |               | West Haven    | CT | 06516 |
| Cabinet Hardware Spec LLC               | 50 Chelton Avenue                |               | West Hartford | Ct | 06110 |
| Capasso Restoration, Inc                | 39 Sugar Hill Road               |               | North Haven   | CT | 06473 |
| Caplow Mechanical, Inc                  | 5 Hamden Park Drive              |               | Hamden        | CT | 06517 |
| Carefree Small Buildings                | 48 Westchester Road              |               | Colchester    | CT | 06415 |
| Carlin Construction Company LLC         | 5 Shaw's Cove, Suite 103         |               | New London    | CT | 06320 |
| Caruso Electric Company                 | 815 Farmington Avenue            |               | New Britain   | CT | 06053 |
| Catania Excavating                      | 28 Brittany Drive                |               | Durham        | CT | 06422 |
| CB Seating, Inc                         | 36 Canal Street, Suite 290       |               | Somersworth   | NH | 03878 |
| CCI, LLC (Central Construction)         | 30 Harris Street                 | P.O. Box 229  | Putnam        | CT | 06260 |
| Ceco Concrete Construction LLC          | 23A Old Windsor Road             |               | Bloomfield    | CT | 06002 |
| Ceiling Systems, Inc                    | 7 Diana Court                    |               | Cheshire      | CT | 06410 |
| Central Connecticut Acoustics, Inc      | 105 North Cherry Street          | P.O. Box 519  | Wallingford   | CT | 06492 |
| CG Carpet LLC                           | 75 King Street                   |               | East Hartford | CT | 06108 |
| Chad Heidenis                           | 18 Fairview                      |               | Portland      | CT | 06480 |
| Champion Concrete Cutting               | 149 Spencer Drive                |               | Middletown    | CT | 06457 |
| Chase Glass Co                          | 4 Commerce Drive                 |               | East Hartford | CT | 06108 |
| Cherry Hill Glass Company, Inc          | 20 Elm Street                    |               | Branford      | CT | 06405 |

|  |                            |               |  |                  |    |       |
|--|----------------------------|---------------|--|------------------|----|-------|
| Civittilo Masonry, Inc   | 15 Holmes Road             |               |  | Newington        | CT | 06111 |
| KKS Electrical Construction  | 77 West Town Street        |               |  | Norwich          | CT | 06360 |
| Clay Furniture Industries, Inc   | 41A Chapel Street          |               |  | Manchester       | CT | 06042 |
| CLP Resources, Inc   | 1015 A Street              |               |  | Tacoma           | WA | 98401 |
| CMC Drywall (Giovanni Cinamella)   | 73-75 James Street         |               |  | Vernon           | CT | 06066 |
| CMC Painting, Inc  | 234 Putnam Street          |               |  | Hartford         | CT | 06106 |
| Cogswell Sprinkler Company, Inc  | 22 Canterbury Street       |               |  | Worcester        | MA | 01610 |
| Coit Excavating, Inc   | 161 Hough Road             |               |  | Bozrah           | CT | 06334 |
| Columbia Sheet Metal   | 10 Bidwell Road            |               |  | North Windham    | CT | 06256 |
| Columbia Sheet Metal Company, Inc  | 4 Commerce Drive           |               |  | North Windham    | CT | 06256 |
| Commercial Building Services   |                            | P.O. Box 2210 |  | New London       | CT | 06320 |
| Commercial Roofing & Contracting, Inc                                      | 340 Kennedy Drive          | P.O. Box 647  |  | Putnam           | CT | 06260 |
| Consigli Construction Company, Inc   | 5100 Bigelow Commons       |               |  | Enfield          | CT | 06082 |
| Construction Staffing, Inc, A Division of Resource Options, Inc            | 112 Washington Avenue      |               |  | North Haven      | CT | 06473 |
| CoverAll Floors Corporation  |                            | P.O. Box 29   |  | West Springfield | MA | 01090 |
| CT Mason Contractors   | 191 Franklin Avenue        |               |  | Hartford         | CT | 06114 |
| CT Metal Structures  | 750 Willis Street          |               |  | Bristol          | CT | 06010 |
| CT Paving LLC  | 145 Dividend Road, Unit 8  |               |  | Rocky Hill       | CT | 06067 |
| CT Temperature Controls LLC  | 714 North Mountain Road    |               |  | Newington        | CT | 06131 |
| Curt J Cosgrove (dba Better Homes & Building, Flooring and Remodeling LLC) | 56 Brookside Drive         |               |  | Feeding Hills    | MA | 01030 |
| Curtainwalls & Windows, Inc  | 76 Depot Road, Building #2 | P.O. Box 309  |  | Kensington       | CT | 06037 |
| Custom Flooring Concepts LLC   | 85 Beiden Street           |               |  | New Britain      | CT | 06051 |
| D&L Welding LLC  | 309 South Eagleville Road  |               |  | Storrs           | CT | 06268 |
| Dalene Hardwood Flooring Company, Inc                                      | 45 Nutmeg Road South       |               |  | South Windsor    | CT | 06074 |
| Daniel O'Connells and Sons   | 480 Hamden Street          |               |  | Holyoke          | MA | 01040 |
| Decco International  | 67 Poland Street           |               |  | Bridgeport       | CT | 06605 |
| Demo Mike, Inc   | 481 Salmon Brook Street    |               |  | Granby           | CT | 06035 |
| DeMonte Painting Company LLC   | 190 Lawrence Road          |               |  | South Windsor    | CT | 06074 |
| DePaoli Mosaic Company   | 52 York Avenue             |               |  | Randolph         | MA | 02368 |
| Desco Products of Connecticut, Inc   | 48 Thill Street            |               |  | West Haven       | CT | 06516 |
| Desco Professional Builders  | 290 Somers Road            |               |  | Ellington        | CT | 06029 |
| Do-All Drywall Operations, Inc   | 40 Scitico Road            |               |  | Somers           | CT | 06071 |
| Draperies, Inc   | 226 Main Street            |               |  | Norwalk          | CT | 06851 |
| Ducci Electrical Contractors   | 427 Goshen Road            |               |  | Torrington       | CT | 06790 |
| Duct & Vent Cleaning of America, Inc                                       | 311 Page Boulevard         |               |  | Springfield      | MA | 01104 |
| Ducto LLC  | 13 Britton Drive           |               |  | Bloomfield       | CT | 06002 |
| Dyco Industries, Inc   | 229 South Satellite Road   |               |  | South Windsor    | CT | 06074 |
| Dyna Electric, Inc   |                            | P.O. Box 1687 |  | Manchester       | CT | 06040 |

|  |                                  |               |                  |    |            |
|--|----------------------------------|---------------|------------------|----|------------|
| Eagle Fence & Guardrail                                | 56 South Canal Street            | P.O. Box 7077 | Plainville       | CT | 06062      |
| Eagle Rivet Roof Service Corporation                   | 15 Britton Drive                 |               | Bloomfield       | CT | 06002      |
| East Coast Erectors                                    | 161 Ogden Lord Road              |               | Marlborough      | CT | 06447      |
| East Coast Haz Mat Removal, Inc                        | 494 East 41 Street               |               | Paterson         | NJ | 07504      |
| Eastern Electric, Inc                                  | 13 Barbara Lane                  |               | Stafford Springs | CT | 06076      |
| Eastern Energy Services LLC                            | 15 Wisconsin Avenue              |               | Norwich          | CT | 06360      |
| Eastern Metal Works, Inc                               | 333 Woodmont Road                |               | Milford          | CT | 06460      |
| Eastern Steel Erectors LLC                             | 50 Emmett Street                 |               | Bristol          | CT | 06011      |
| EDI Landscape LLC                                      | 32 Belmont Street                |               | Hartford         | CT | 06489      |
| EFB, Inc   | 40 Windbrook Drive               |               | Windsor          | CT | 06095      |
| Electrical Contractors, Inc                            | 3510 Main Street                 |               | Hartford         | CT | 06120      |
| Electrical Energy Systems Corporation Voice & Data LLC | 215 Captain Lewis Drive          |               | Southington      | CT | 06489      |
| Electrical Wholesalers Inc                             | 135 Walnut St                    |               | Hartford         | Ct | 06120      |
| Elevator Service Company, Inc                          | 47 Water Street                  |               | Torrington       | CT | 06790      |
| Enfield Builders, Inc                                  | 1654 King Street                 | P.O. Box 1201 | Enfield          | CT | 06083      |
| Environmental Designs, Inc                             | 2070 West Street                 |               | Southington      | CT | 06489      |
| Environmental Testing & Balancing, Inc                 | 154 State Street, Suite 208      |               | North Haven      | CT | 06473      |
| Ernest Peterson, Inc                                   | 1830 Broad Street                |               | Hartford         | CT | 06114      |
| ERS Materials LLC                                      | 9876 Main Street, Suite 225      |               | Woodstock        | GA | 30188      |
| ESCS, Inc  | 108 Evergreen Street             |               | Bridgeport       | CT | 06606      |
| F C Interior System LLC                                | PMB 257 1131-0 Tolland Turnpike  |               | Manchester       | CT | 06042      |
| Federal Rent A Fence                                   |                                  | P.O. Box 266  | West Berlin      | NJ | 08091      |
| Ferguson Mechanical Company, Inc                       | 112 Northwest Drive              |               | Plainville       | CT | 06062      |
| Fire Rated LLC   | 254 Prospect Avenue              |               | Hartford         | CT | 06106      |
| Fletcher-Thompson                                      | 3 Corporate Drive, Suite 500     |               | Shelton          | Ct | 06484-6244 |
| Flowtech   |                                  | P.O. Box 397  | South Windsor    | CT | 06074      |
| Four Seasons Landscaping, Inc                          | 836 Palisado Avenue              | P.O. Box 245  | Windsor          | CT | 06095      |
| FPA Systems and Resources, Inc                         | 14 Brooks Street                 |               | Maynard          | MA | 01754      |
| Francis Joseph Borelli                                 | 55 Clifford Terrace              |               | New Haven        | CT | 06512      |
| Freedom Lifts LLC                                      | 666 Upper Maple Street, Unit D   |               | Danielson        | CT | 06239      |
| Front Street Construction                              | 178 Front Street, Unit 200       |               | West Haven       | CT | 06518      |
| Fulton Foundation, Inc                                 | 21 East Street                   |               | Morris           | CT | 06783      |
| G Donovan Associates, Inc                              | 627 Route 32, North Franklin, CT | P.O. Box 249  | Lebanon          | CT | 06249      |
| G&R Valley, Inc Mechanical Contractors                 | 350 Chapel Road                  |               | South Windsor    | CT | 06074      |
| Garofalo And Saraceno Contract                         | 30 Stack Street                  |               | Middletown       | Ct | 06457      |
| Garrison Sand & Gravel, Inc                            | 53 Palmer Road                   |               | Chaplin          | CT | 06235      |
| Garrity Asphalt Reclaiming, Inc                        | 22 Peters Road                   |               | Bloomfield       | CT | 06002      |
| GC Signs LLC   | 75 Park Road                     |               | Barkhamsted      | CT | 06063      |

|   |                           |               |               |                   |    |       |
|---|---------------------------|---------------|---------------|-------------------|----|-------|
| GDS Contracting Corporation                                 | 1623 Berlin Turnpike      |               |               | Berlin            | CT | 06037 |
| General Welding & Fabrication, Inc                          | 977 Echo Lake Road        |               |               | Watertown         | CT | 06795 |
| Generation Drywall LLC                                      | 147 Comstock Trail        |               |               | East Hampton      | CT | 06247 |
| Generation Drywall, Inc                                     | 72 Main Street            |               |               | East Hartford     | CT | 06118 |
| Gesick & Associates   | 19 Cedar Island Avenue    |               |               | Clinton           | CT | 06413 |
| Gibson Associates, Inc                                      | 325 Boston Post Road      |               |               | Sudbury           | MA | 01776 |
| GL Capasso, Inc   | 34 Lloyd Street           |               |               | New Haven         | CT | 06513 |
| Gold Seal Roofing & Sheetmetal, Inc                         | 1349 Waterbury Road       |               |               | Thomaston         | CT | 06787 |
| Goodco Painting, Inc  | 2 Alcap Ridge, Unit B     |               |               | Cromwell          | CT | 06416 |
| Greenwood Industries, Inc                                   |                           | P.O. Box 2800 |               | Worcester         | MA | 01603 |
| Guerrieri Masonry, Inc                                      | 94 Marion Street          |               |               | Hartford          | CT | 06106 |
| H Carr & Sons, Inc  | 15 Lakewood Drive, Unit 6 |               |               | Oakdale           | CT | 06353 |
| Hanna Electric, Inc   | 19 Mulberry Lane          |               |               | Somers            | CT | 06071 |
| Hartford Sprinkler Company, Inc                             | 4 Britton Drive           |               |               | Bloomfield        | CT | 06002 |
| HB Communications   | 80 Colonial Road          |               |               | Warwick           | RI | 02886 |
| HHS Mechanical Contractors (Tim Flaherty & Richard Bromley) | 60 Dodge Ave              |               |               | North Haven       | CT | 06473 |
| HHS Mechanical Contractors, Inc                             | 80 Colonial Road          |               |               | Manchester        | CT | 06042 |
| Highline Riggers LLC  | 103 Union City Road       |               |               | Prospect          | CT | 06712 |
| Hop River Concrete, Inc                                     | 231 Route 6               |               |               | Columbia          | CT | 06237 |
| Horizon Services Corporation                                | 250 Governor Street       |               |               | West Hartford     | CT | 06108 |
| IBS Contracting LLC   |                           | P.O. Box 134  |               | South Glastonbury | CT | 06073 |
| IFI Industrial Floors, Inc                                  | 13 Davenport Street       |               |               | Chicopee          | MA | 01013 |
| Independent Elevator Company                                | 84 Zeya Drive             |               |               | Coventry          | Ct | 06238 |
| Intext Building Systems LLC                                 | 101 Naubuc Avenue         |               |               | Glastonbury       | CT | 06033 |
| J&B Mechanical Contractors                                  | 89 Church Street          |               |               | East Hartford     | CT | 06108 |
| J&M Steel Erectors LLC                                      |                           | P.O. Box 651  |               | North Branford    | CT | 06471 |
| J&P Construction Corporation                                | 224 Krug Road             |               |               | Preston           | CT | 06365 |
| J&S General Contractors LLC                                 | 155 Eddon Drive           |               |               | East Haven        | CT | 06512 |
| J&V Construction LLC  | 914 Main Street           |               |               | East Hartford     | CT | 06108 |
| Jacinto Mason LLC   | 101 Medford Street        |               |               | West Haven        | CT | 06516 |
| Jacobs Building & Remodeling                                | 90 Mountain View Avenue   |               |               | Bristol           | CT | 06010 |
| James E Brennan Company, Inc                                | 187 North Main Street     |               | P.O. Box 507  | Wallingford       | CT | 06492 |
| JBR Glass & Construction LLC                                | 274 Mansfield Road        |               |               | Ashford           | CT | 06278 |
| JUG Contracting, Inc  |                           | P.O. Box 191  |               | Harwinton         | CT | 06791 |
| JJ's Ceramic Tile LLC                                       | 34 Old Coventry Road      |               |               | Andover           | CT | 06232 |
| JM Ladd Construction LLC                                    | 204 Mountain View Road    |               |               | Somers            | CT | 06071 |
| John Fillarimo Construction Company, Inc                    | 82 Glendale Road          |               |               | South Windsor     | CT | 06074 |
| John Stratach & Sons, Inc                                   | 62 Airport Road           |               | P.O. Box 1278 | Westerly          | RI | 02891 |



|   |                                  |                 |  |               |    |       |
|---|----------------------------------|-----------------|--|---------------|----|-------|
| Mather Corporation  | 21 West Dudley Town Road         |                 |  | Bloomfield    | CT | 06002 |
| MCM Acoustics LLC   | 151 New Park Avenue              |                 |  | Hartford      | CT | 06106 |
| McPhee Electric Ltd   | 505 Main Street                  |                 |  | Farmington    | CT | 06032 |
| MD Drilling & Blasting  |                                  | P.O. Box 307    |  | Gardiner      | ME | 04345 |
| Medeiros Hydro Seeding and Landscaping Construction Company, Inc. | 165 Bumstead Road                |                 |  | Monson        | MA | 01057 |
| Mega Mechanical Systems Corporation                               | 182 Oakwood Drive                |                 |  | Glastonbury   | CT | 06033 |
| Melting Point Welding & Fabrication LLC                           | 151 South Main Street            |                 |  | Brooklyn      | CT | 06234 |
| Milton C Beebe & Sons, Inc  | 12 Beebe Lane                    |                 |  | Storrs        | CT | 06268 |
| Milton James (Tyson Electric Contractors, LLC)                    | 23 Mayfair Road                  |                 |  | Vernon        | CT | 06066 |
| Miscellaneous Steel & Rail  | 71 Edwin Road                    | P.O. Box 572    |  | South Windsor | CT | 06074 |
| MJ Daly LLC   | 110 Mattatuck Heights            |                 |  | Waterbury     | CT | 06705 |
| MK Construction, Inc  | 6 Plum Lane                      |                 |  | South Windsor | CT | 06074 |
| MN Reale Construction LLC   | 250 Meadow Street                |                 |  | Branford      | CT | 06405 |
| MO Electrical Contractors LLC                                     | 106 Maple Street                 |                 |  | East Hartford | CT | 06118 |
| Mohegan Painting Company LLC                                      | One Four Mile River Road         |                 |  | Old Lyme      | CT | 06371 |
| Moretrench American Corporation                                   | 100 Stickle Avenue               |                 |  | Rockaway      | NJ | 07866 |
| Mota Brothers Asbestos LLC  | 68 New Park Avenue               | P.O. Box 261208 |  | Hartford      | CT | 06126 |
| Mountain Tree Service, Inc  |                                  | P.O. Box 501    |  | Somers        | CT | 06071 |
| MT Ford Industries, Inc   | 645 Main Street                  |                 |  | Somers        | CT | 06071 |
| Murray Enterprises  | 215 Fairhill Lane                |                 |  | Suffield      | CT | 06078 |
| Natgun Corporation  | 11 Teal Road                     |                 |  | Wakefield     | MA | 01880 |
| NER Construction Management Corporation                           | 867 Woburn Street                |                 |  | Wilmington    | MA | 01887 |
| Network Installation Services, Inc                                | 192 North Plains Industrial Road |                 |  | Wallingford   | CT | 06492 |
| New Cambridge Painting Company LLC                                | 164 Fleetwood Road               |                 |  | Bristol       | CT | 06010 |
| New England Blacktop, Inc   | 541 Griswold Street              |                 |  | Glastonbury   | CT | 06033 |
| New England Glass and Mirror                                      | 31 Connecticut Avenue            |                 |  | Norwich       | CT | 06360 |
| New England Installation, Inc                                     |                                  | P.O. Box 113    |  | Accord        | MA | 02018 |
| New England Scaffolding, Inc                                      | 85 Mill Street                   |                 |  | Brockton      | MA | 02301 |
| NK Construction LLC   | 29 Belmont Street                |                 |  | Wethersfield  | CT | 06109 |
| Noble Construction & Management                                   | 39 Main Street                   |                 |  | Centerbrook   | CT | 06409 |
| Norconn Services Company, Inc                                     | 5 Arningina Drive                | P.O. Box 885    |  | Enfield       | CT | 06083 |
| Northcoast Construction & Property Service                        | 20 Brendl Trail                  |                 |  | Columbia      | CT | 06237 |
| Northeast Flooring & Kitchens, LLC                                | 233 B Kennedy Drive              |                 |  | Bloomfield    | CT | 06002 |
| Northeast Interior Systems of New England                         | 750 School Street                |                 |  | Pawtucket     | RI | 02860 |
| Northeast Lightning Protection LLC                                | 10 Peters Road                   |                 |  | Bloomfield    | CT | 06002 |
| Northeast Steel Erectors LLC                                      |                                  | P.O. Box 2111   |  | Bristol       | CT | 06011 |
| Northeast Tradesmen, Inc  | 250 Pomeroy Avenue, Suite 102    |                 |  | Meriden       | CT | 06450 |
| Northeastern Cleaning, Inc  | 1675 Saybrook Road               |                 |  | Middletown    | CT | 06457 |

|  |                                |  |              |                |    |       |
|--|--------------------------------|--|--------------|----------------|----|-------|
| Northeastern Engineering & Contracting Company | 115 Daleville School Road      |  |              | Willington     | CT | 06279 |
| Northwest Contractors                          | 28 Greenwoods Road             |  |              | North Granby   | CT | 06060 |
| O&G Industries, Inc                            | 112 Wall Street                |  |              | Torrington     | CT | 06790 |
| Ofi Contract Interiors                         | 28 Garfield Street             |  |              | Newington      | Ct | 06111 |
| Orion Manufacturing LLC                        | 800 Flanders Road, Building 4  |  |              | Mystic         | CT | 06355 |
| Orissa LLC                                     | 45 Andover Drive               |  |              | Rocky Hill     | CT | 06067 |
| Orlando Annulli & Sons, Inc                    | 147 Hale Road                  |  | P.O. Box 610 | Manchester     | CT | 06045 |
| Otis Elevator Company                          | 242 Pitkin Street              |  |              | East Hartford  | CT | 06002 |
| Overhead Door Company of Hartford              | 303 Locust Street              |  |              | Hartford       | CT | 06114 |
| Overhead Door Company of Norwich, Inc          | 88 Route 2A                    |  |              | Preston        | CT | 06365 |
| Paquette Electric Company, Inc                 | 368 Killingly Road             |  | P.O. Box 159 | Pomfret Center | CT | 06259 |
| Patriot Steel LLC                              | 7 Riverdale Road               |  |              | Enfield        | CT | 06082 |
| Pepin Steel & Iron Works LLC                   | 47 Waterbury Road              |  |              | Bristol        | CT | 06010 |
| Perfectly Plumb LLC                            | 215 Pickerel Lake Road         |  |              | Colchester     | CT | 06415 |
| Performance Plumbing & Heating LLC             | 609 Migeon Avenue              |  |              | Torrington     | CT | 06790 |
| PGV Construction LLC                           | 8 Aaron Samuel Boulevard       |  |              | Danbury        | CT | 06810 |
| PJ's Construction Company, Inc                 | 531 Ella Grasso Boulevard      |  |              | New Haven      | CT | 06519 |
| Pomerantz Contracting                          | 64 Jordan Lane                 |  |              | North Windham  | CT | 06256 |
| Pontes Construction                            | 6 Card Street                  |  |              | Lebanon        | CT | 06249 |
| Premier Electrical Contractor LLC              | 344 Blue Hills Avenue          |  |              | Hartford       | CT | 06112 |
| Prime Electric LLC                             | 33 Wisconsin Avenue, Suite 101 |  |              | Norwich        | CT | 06360 |
| Pro Cut, Inc                                   | 26 South Canal Street          |  |              | Plainville     | CT | 06062 |
| Progressive Sheet Metal                        | 36 Mascolo Road                |  |              | South Windsor  | CT |       |
| QSR Steel Corporation LLC                      | 300 Locust Street              |  |              | Hartford       | CT | 06114 |
| Quality Mechanical Corporation                 | 231 Silver Sands Road          |  |              | East Haven     | CT | 06512 |
| R&C Electric LLC                               | 31 Loomis Lane                 |  |              | Bristol        | CT | 06010 |
| Ramco Environmental, Inc                       | 253 Locust Street              |  |              | Hartford       | CT | 06114 |
| Red Thread                                     | 300 East River Drive           |  |              | Willimantic    | CT | 06226 |
| RJB Contracting, Inc                           | 588 Winsted Road               |  |              | Torrington     | CT | 06790 |
| RLG Floor Covering LLC                         | 97 Seaman Circle               |  |              | Manchester     | CT | 06040 |
| Roweski Painting LLC                           | 182 Edgewood Street            |  |              | Hartford       | CT | 06112 |
| S G Milazzo & Company, Inc                     | 148 Dividend Road              |  |              | Rocky Hill     | CT | 06067 |
| S&H Tile LLC                                   | 95 Stanley Drive               |  |              | Andover        | CT | 06230 |
| Safety Marking, Inc                            | 460 Bostwick Avenue            |  |              | Bridgeport     | CT | 06705 |
| Samuel Morrison                                | 311 Goodrich Street            |  |              | Hamden         | CT | 06517 |
| Santoro, Inc                                   | 207 Stamm Road                 |  |              | Newington      | CT | 06111 |
| Sarazin General Contractors, Inc               | 6 Commerce Drive               |  |              | North Windham  | CT | 06256 |
| Schenectady Steel Company, Inc                 | 18 Mariaville Road             |  |              | Schenectady    | NY | 12306 |

|   |                           |  |              |               |    |       |
|---|---------------------------|--|--------------|---------------|----|-------|
| Schneider Electric  | 6 North Commerce Drive    |  |              | Tolland       | CT | 06068 |
| Schneider Electric Buildings Americas, Inc                        | 1650 West Crosby Road     |  |              | Carrlilton    | TX | 75006 |
| Scholar Painting LLC  | 2 Klandes Village #145    |  |              | Seymour       | CT | 06483 |
| SDC Electrical Service LLC  | 1889 State Street         |  |              | Hamden        | CT | 06517 |
| Selective Service LLC   | 206 Center Street         |  |              | Manchester    | CT | 06040 |
| Shawmut Design & Construction                                     | 118 Washington Avenue     |  |              | North Haven   | CT | 06473 |
| Shawn Luzzi   | 42 Stuyvesant Avenue      |  |              | New Haven     | CT | 06512 |
| Shepard Steel Company, Inc  | 110 Meadow Street         |  |              | Hartford      | CT | 06114 |
| Shepardville Construction LLC                                     | 285 Great Hill Road       |  |              | Naugatuck     | CT | 06770 |
| Siga Construction   | 15 OBrien Street, Apt 1   |  |              | Norwalk       | CT | 06851 |
| Silktown Roofing, Inc   | 27 Pleasant Street        |  |              | Manchester    | CT | 06040 |
| Simplex Grinnell LP   | 80 Clark Drive, Unit 5-D  |  |              | East Berlin   | CT | 06023 |
| Smith Automatic Sprinkler (dba Wolverine Fire Protection Company) | 101 Bidwell Road          |  |              | South Windsor | CT | 06074 |
| Sound Mechanical Contractors LLC                                  | 82 Colonial Road          |  |              | Manchester    | CT | 06042 |
| Southern New England Electrical Testing LLC                       | 3 Buel Street, Unit 2     |  |              | Wallingford   | CT | 06492 |
| Specialty Installation Services LLC                               | 46 Old State Road         |  |              | New Milford   | CT | 06776 |
| Spectrum Floors, Inc  | 299 Blacks Road           |  | P.O. Box 861 | Cheshire      | CT | 06410 |
| Starnford Wrecking Company  | 30 Nutmeg Drive           |  |              | Trumbull      | CT | 06611 |
| Stanley Wiesen, Inc/SWI Glass & Metal                             | 290 Prospect Avenue       |  |              | Hartford      | CT | 06106 |
| State-Wide Electric, Inc  | 109A Commerce Street      |  |              | Glastonbury   | CT | 06033 |
| Steven Gellinas   | 265 Canterbury Road       |  |              | Plainfield    | CT | 06374 |
| Stonington Services, LLC (dba Brand Fire Services)                | 2 Chapman Lane            |  |              | Gales Ferry   | CT | 06339 |
| Structure Enterprises, Inc  | 755 Bread & Milk Street   |  |              | Coventry      | CT | 06238 |
| Summit Scaffold Services LLC                                      | 21 Oaklawn Drive          |  |              | Barkhamsted   | CT | 06063 |
| Sun Services LLC  | 25 Controls Drive         |  |              |               |    |       |
| Susaya Construction Company, Inc                                  | 79 West Dudley Town Road  |  |              | Bloomfield    | CT | 06002 |
| Sydney Science LLC  | 32 Cole Street, Suite 2N  |  |              | Warren        | RI | 02885 |
| Synergy Electrical Systems LLC                                    |                           |  | P.O. Box 399 | Plainville    | CT | 06062 |
| SystemOne LLC   | 215 Captain Lewis Drive   |  |              | Southington   | CT | 06489 |
| T Keefe and Son LLC   | 1790 Little Meadow Road   |  |              | Guilford      | CT | 06437 |
| T&M Electric, Inc   | 130 East Housatonic Court |  |              | Dalton        | MA | 01226 |
| T&T Electrical Contractors  | 420 Windsor Street        |  |              | Hartford      | CT | 06120 |
| Tabacco & Son Builders, Inc                                       | 45 Stafford Avenue        |  |              | Bristol       | CT | 06010 |
| Talevi Enterprises, Inc   |                           |  | P.O. Box 461 | Berlin        | CT | 06037 |
| The Concrete Supplement Company                                   | 272 Norfolk Road          |  | P.O. Box 501 | Litchfield    | CT | 06759 |
| The Eugene Steinberg Company                                      | 35 Barber Pond Road       |  |              | Bloomfield    | CT | 06002 |
| The Jack Farrelly Company   | 97 Old Poquonock Road     |  |              | Bloomfield    | CT | 06002 |
| The Nasi Group LLC  | 84 Gallup Lane            |  |              | Waterford     | CT | 06385 |

|   |                                 |                 |                |    |       |
|---|---------------------------------|-----------------|----------------|----|-------|
| The Nutmeg Companies, Inc   | 31 New London Turnpike          |                 | Norwich        | CT | 06360 |
| The Smedley Company   | 40 Flax Mill Road               |                 | Branford       | CT | 06405 |
| The Spectrum Services Company                                     |                                 | P.O. Box 60     | Meriden        | CT | 06450 |
| The Stuart L White Company  | 543 Boston Post Road            |                 | Milford        | CT | 06460 |
| The Summit Crane Company  |                                 | P.O. Box 9037   | Bristol        | CT | 06011 |
| Thermal Fireproofing & Acoustics Company                          | 83 Farwell Street               |                 | West Haven     | CT | 06516 |
| Thomas W. Raftery, Inc  | 636 Nutmeg Road North           |                 | Rocky Hill     | CT | 06067 |
| Titan Mechanical Contractors, Inc                                 | 150 Batson Drive                |                 | Manchester     | CT | 06042 |
| Titan Steel   |                                 | P.O. Box 10     | Schenectady    | NY | 12306 |
| Tolland Architectural   | 526 Tolland Stage Road          |                 | Tolland        | CT | 06084 |
| Tom Marino Construction   | 24 Stowe Street                 |                 | Middlefield    | CT | 06455 |
| Total Fence LLC   | 525 Ella Grasso Boulevard       |                 | New Haven      | CT | 06519 |
| Total Wall Systems, Inc   | 54 North Harbor Street          |                 | Branford       | CT | 06405 |
| Tradesmen International   | 9760 Shepard Road               |                 | Macedonia      | OH | 44059 |
| Tradesource, Inc  | 205 Hallene Road, Units 211-212 |                 | Warwick        | RI | 02886 |
| Trinity Contract Glazing LLC                                      | 76 O'Sullivan Drive             |                 | Bristol        | CT | 06010 |
| Tri-State Drywall Systems LLC                                     | 45 Gilson Road                  |                 | Nashua         | NH | 03062 |
| TSL Installations   | 65 Hillside Road                |                 | Vernon         | CT | 06066 |
| Tucker Mechanical   | 367 Research Parkway            |                 | Meriden        | CT | 06450 |
| Tulloch Fence & Building LLC                                      | 21 Hadden Street                |                 | Bristol        | CT | 06010 |
| Ultimate Construction LLC   | 19C Andover Drive               |                 | West Hartford  | CT | 06110 |
| United Glass & Mirror   |                                 |                 |                |    |       |
| United Steel, Inc   | 164 School Street               |                 | East Hartford  | CT | 06108 |
| Urban Contractors of CT LLC                                       | 3080 Main Street                |                 | Hartford       | CT | 06120 |
| Vandzant LLC  | 732 Plainfield Road             |                 | Griswold       | CT | 06351 |
| Veilleux Concrete Cutting LLC                                     |                                 | P.O. Box 439    | South Windsor  | CT | 06074 |
| Vogel Glass Company LLC   | 21 Commerce Drive               |                 | North Branford | CT | 06471 |
| W J Mountford Company   | 170 Commerce Way                |                 | South Windsor  | CT | 06074 |
| Walch & Company, Inc  | 35 North River Road             |                 | Tolland        | CT | 06084 |
| Walker Crane & Rigging Corporation                                | 50 Farmington Valley Drive      |                 | Plainville     | CT | 06062 |
| Wallco Installations LLC  | 385 Oldfield Road               |                 | Fairfield      | CT | 06824 |
| Wildlife Control Services   |                                 | P.O. Box 330568 | West Hartford  | CT | 06133 |
| Wing's Testing & Balancing Company, Inc                           | 94 North Branford Road          |                 | Branford       | CT | 06405 |
| Wiremen, Inc  | 138 Manhan Street               | P.O. Box 721    | Waterbury      | CT | 06720 |
| Witch Enterprises, Inc  | 467 Silver Street               | P.O. Box 673    | Agawam         | MA | 01001 |
| Wolfe House Movers LLC  | 10 Birch Lane                   |                 | Bernville      | PA | 19506 |
| Wolverine Fire Protection Company (dba Smith Automatic Sprinkler) | 101 Bidwell Road                |                 | South Windsor  | CT | 06074 |
| CTX Associates LLC  | 703 Hebron Avenue               |                 | Glastonbury    | CT | 06033 |

|                        |                  |            |    |       |
|------------------------|------------------|------------|----|-------|
| Z&R Floor Covering LLC | 5 Ships Oak Lane | Farmington | CT | 06032 |
|------------------------|------------------|------------|----|-------|

| Company Name                  | Adjusted Wages Paid | Status | Date Closed | Project Name                          | Project Location | General Contractor      | Department of Labor Findings   |
|-------------------------------|---------------------|--------|-------------|---------------------------------------|------------------|-------------------------|--|
| J&V Construction File 1       | \$382,897.69        | Open   | Open        | UCONN - Basketball Facility           | Storrs           | Daniel O'Connell's Sons | DOL Review in Process  |
| J&V Construction File 2       | \$32,896.16         | Open   | Open        | UCONN - Young Building                | Storrs           | O&G Industries          | DOL Review in Process  |
| Shepardville Construction LLC | \$478.30            | Paid   | 2/10/2014   | UCONN - Bousfield Psychology Building | Storrs           | Daniel O'Connell's Sons | Failure to pay prevailing wage rates; 31-53; Annual Adjustment not paid; 31-55a; Failure to maintain basic payroll records; 31-66; OSHA-10 Certification not submitted with certified payroll (verification subsequently provided); OSHA-10 Certification dated prior to five years from commencement of site construction |



October 28, 2013

**Sharon M. Palmer**  
Commissioner

**State of Connecticut Debarment List Pursuant to Section 31-53a(a)**

No contract shall be awarded to any person or firms appearing on this list or to any firm, corporation, partnership, or association in which such persons or firms have an interest until the expiration date listed has elapsed.

Please be informed that the following persons or firms have been debarred in accordance with Section 31-53a of the Connecticut General Statutes, as amended:

**DEBARMENT LIST**

| <b>Name of Person or Firm</b>                  | <b>Expiration Date</b> |
|--|------------------------|
| -All Acoustical Ceilings LLC                   | December 1, 2014       |
| Malissa L. Forti, an individual                | December 1, 2014       |
| -Royal Welding LLC, Charlotte, NC              | February 3, 2015       |
| Gilman Derosier, an individual                 | February 3, 2015       |
| -Advanced Steel Reinforcing Company Inc.       | August 13, 2015        |
| Theresa DiCocco, an individual                 | August 13, 2015        |
| Lori A. Prizio, an individual                  | August 13, 2015        |
| -Canterbury Communications, Canterbury         | Indefinite             |
| Marion T. Mershon, an individual               | Indefinite             |
| -City Electrical Enterprises LLC, Hartford     | Indefinite             |
| Lloyd Thompson, an individual                  | Indefinite             |
| -Elite Roofing, Cheshire                       | Indefinite             |
| Sam Stevens, an individual                     | Indefinite             |
| -Gatollari Enterprises Inc., Rochelle Park, NJ | Indefinite             |
| Robert Gatollari, an individual                | Indefinite             |
| -Seakco Construction, Stamford                 | Indefinite             |
| Robert Evans, an individual                    | Indefinite             |
| -Statewide Renovations LLC, Newington          | Indefinite             |
| Steve DaMotta, an individual                   | Indefinite             |
| -Sun Ray Electric, Prospect                    | Indefinite             |
| Reinaldo DeSousa, an individual                | Indefinite             |
| -T&T Electrical Contractor's Inc., Hartford    | Indefinite             |
| Gerald T. Beaudoin III, an individual          | Indefinite             |
| William Torpey, an individual                  | Indefinite             |
| Morgan J. Beaudoin, an individual              | Indefinite             |

Any inquiries regarding this list should be directed to the Wage and Workplace Standards Division at (860)263-6790.

  
**Sharon M. Palmer**  
**Labor Commissioner**

Modified: October 28, 2013

### DEBARMENT LIST

*The following persons or firms have been debarred under the Federal Davis Bacon Act and are to be included on this list pursuant to Connecticut General Statutes, Section 31-53a.*

| <i>NAME OF FIRM OR INDIVIDUAL</i>              | <i>CITY, STATE</i> | <i>DATE OF EXPIRATION</i> |
|--|--------------------|---------------------------|
| AnRod Construction                             | Orlando, FL        | September 11, 2014        |
| - Antonio Rodriguez, an individual             | Orlando, FL        | September 11, 2014        |
| Big Bears Construction Inc.                    | Dale City, Ca      | September 11, 2014        |
| - Jack Wang, an individual                     | Dale City, Ca      | September 11, 2014        |
| Brick Staining Technology Inc.                 | Manchester, PA     | September 29, 2014        |
| -Robert Bush, an individual                    | Manchester, PA     | September 29, 2014        |
| Certified Plumbing & Heating Inc.              | Centereach, NY     | September 11, 2014        |
| -Claire Piscitelli, an individual              | Centereach, NY     | September 11, 2014        |
| Compel Construction Company                    | LaPlata, MD        | September 11, 2014        |
| -Melvin Adams, an individual                   | LaPlata, MD        | September 11, 2014        |
| -Keelan McLaughlin, an individual              | Bronx, NY          | March 28, 2014            |
| Federal Construction & Consulting LLC          | Bronx, NY          | September 29, 2014        |
| - Fernando Madrigal, an individual             | Bronx, NY          | September 29, 2014        |
| Metro Services Enterprises                     | Daytona Beach, FL  | September 8, 2014         |
| Metro Services Enterprises USA Corporation     | Daytona Beach, FL  | September 8, 2014         |
| -Jose Ventura, an individual                   | Daytona Beach, FL  | September 8, 2014         |
| Morrison, Don J., an individual                | Niehart, MT        | Indefinite                |
| Oz, Roni, an individual                        | Plantation, FL     | Indefinite                |
| Rainbow Electric Company                       | Great Falls, MT    | Indefinite                |
| SAS Finishes Inc.                              | East Windsor, CT   | March 28, 2014            |
| -Stephen Lateano, an individual                | East Windsor, CT   | March 28, 2014            |
| Susquehanna Valley Mechanical Contractors Inc. | Selinsgrove, PA    | March 28, 2014            |
| -Gary Romig, Sr., an individual                | Selinsgrove, PA    | March 28, 2014            |
| Veltri Electric Inc.                           | Washington, PA     | September 29, 2014        |
| -John Veltri, an individual                    | Washington, PA     | September 29, 2014        |
| Veterans Construction LLC                      | Providence, RI     | September 29, 2014        |
| -Larry Ervin, an individual                    | Providence, RI     | September 29, 2014        |
| W.M. Painting Inc.                             | Falls River, MA    | March 28, 2014            |
| -Wayne Mello, an individual                    | Falls River, MA    | March 28, 2014            |

**Board of Trustees**  
**University of Connecticut**

**The Honorable Dannel P. Malloy**  
*(Governor of the State of Connecticut)*  
*(President, ex officio)*

**Lawrence D. McHugh, Chairman**  
*(Chairman of the Board)*

**Sanford Cloud, Jr.**  
*(Chair, Health Center Board of Directors)*  
*(Member ex officio)*

**Stefan Pryor**  
*(Commissioner of Education)*  
*(Member ex officio)*

**Steven K. Reviczky**  
*(Commissioner of Agriculture)*  
*(Member ex officio)*

**Catherine H. Smith**  
*(Commissioner of Economic and Community Development)*  
*(Member ex officio)*

**Louise M. Bailey**

**Marilda L. Gandara**

**Rose A. Barham**

**Juanita T. James**

**Andy F. Bessette**

**Thomas E. Kruger**

**Charles F. Bunnell**

**Rebecca Lobo**

**Shari G. Cantor**

**Donny Marshall**

**Richard T. Carbray, Jr.**

**Denis J. Nayden**

**Michael K. Daniels**

**Thomas D. Ritter**

**Andrea Dennis-LaVigne**

